

RESEARCH ARTICLE

A topology on E -theory

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Abstract

For separable C^* -algebras A and B , we define a topology on the set $[[A, B]]$ consisting of homotopy classes of asymptotic morphisms from A to B . This gives an enrichment of the Connes–Higson asymptotic category over topological spaces. We show that the Hausdorffization of this category is equivalent to the shape category of Dadarlat. As an application, we obtain a topology on the E -theory group $E(A, B)$ with properties analogous to those of the topology on $KK(A, B)$. The Hausdorffized E -theory group $EL(A, B) = E(A, B)/\overline{\{0\}}$ is also introduced and studied. We obtain a continuity result for the functor $EL(\cdot, B)$, which implies a new continuity result for the functor $KL(\cdot, B)$.

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INTRODUCTION

E -theory was defined by Connes and Higson in [7]. It is a concrete realization, defined in terms of asymptotic morphisms, of the half-exact bifunctor first defined by Higson in [15]. In parallel with KK -theory, this bifunctor from the category of separable C^* -algebras (and $*$ -homomorphisms) to the category of abelian groups is stable, homotopy invariant, and possesses a composition product. In fact, for separable C^* -algebras A and B , there is a natural transformation $E(A, B) \rightarrow KK(A, B)$ preserving the product structure that is an isomorphism whenever A is nuclear. Unlike KK -theory, however, E -theory is half-exact on all extensions of separable C^* -algebras. The theory played a prominent role in approaches to both the Baum–Connes conjecture and classification theory for nuclear C^* -algebras soon after its introduction [16, 18]. See [2, 7, 14] for more on E -theory and its applications.

In this paper, we define a topology on $E(A, B)$ with properties analogous to the ones satisfied by the topology on $KK(A, B)$. With some restrictions on A and B , the latter topology was first studied in depth by Brown [4] and Salinas [21] in connection with quasidiagonal extensions, an early version is mentioned in the work of Brown, Douglas, and Fillmore [5]. It was further developed by Schochet in [23, 24] before being defined and studied in general by Pimsner (unpublished) and Dadarlat [9]. That all of these definitions coincide (in their respective settings) relies on a characterization of convergence in terms of *Pimsner’s condition* (see [9, Theorem 3.5]). The topology we define satisfies the E -theoretic version of this condition.

Theorem A. *For separable C^* -algebras A and B , there is a unique second countable topology on $E(A, B)$ such that $x_n \rightarrow x$ in $E(A, B)$ if and only if there exists $y \in E(A, C(\mathbb{N}^\dagger, B))$ satisfying $y(n) = x_n$ for all $n \in \mathbb{N}$ and $y(\infty) = x$, where $\mathbb{N}^\dagger = \mathbb{N} \cup \{\infty\}$ is the one-point compactification of the natural numbers.*

This and other properties of the topology are found in Section 4. In particular, if A , B , and D are separable C^* -algebras, then $E(A, B)$ is a topological group and the product $E(A, B) \times E(B, D) \rightarrow E(A, D)$ is continuous, generalizing [23, Theorem 6.8] and in analogy with [9, Theorem 3.5].

The relevance of the closure of $\{0\}$ was first highlighted in the work of Brown on the universal coefficient theorem (UCT) in the early 1980s [4]. When A satisfies the UCT, the closure of $\{0\}$ can be identified with the subgroup of $\text{Ext}_{\mathbb{Z}}^1(K_{*+1}(A), K_*(B))$ consisting of pure extensions, as proved by Schochet in [24, Theorem 3.3], assuming nuclearity. The nuclearity condition was removed by Dadarlat in [9, section 4].

When A satisfies the UCT, the group $KL(A, B)$ is defined as the quotient of $KK(A, B)$ by this subgroup, and this quotient group plays a central role in classifying $*$ -homomorphisms, as first shown by Rørdam [19]. In particular, Rørdam proved that two $*$ -homomorphisms that are approximately unitarily equivalent induce the same KL -class, while they might not induce the same KK -class. The KL -groups remain an indispensable tool in the classification program for nuclear C^* -algebras to this day (e.g., see [6, 12, 13, 27]). Moreover, the KL -groups capture the limiting behavior of the controlled KK -theory groups of Willett and Yu [28, Theorem 1.2].

Without the UCT condition, Dadarlat defined $KL(A, B)$ as the quotient of $KK(A, B)$ by the closure of $\{0\}$. We define the Hausdorffized E -theory group $EL(A, B)$ in an analogous way. This is a totally disconnected, separable, and completely metrizable topological group, just like $KL(A, B)$ (see Section 4.2). Further, the product on E -theory descends to a continuous product on

EL -theory. We also prove that two separable C^* -algebras are E -equivalent if and only if they are EL -equivalent. This generalizes and gives a new proof of [9, Corollary 5.2], stating that KL - and KK -equivalence coincide for nuclear C^* -algebras, with an argument that avoids the use of the Kirchberg–Phillips classification theorem.

We also examine the behavior of Hausdorffized E -theory under direct limits. Milnor’s \lim^1 -sequence provides compatibility of E -theory with direct limits in the first variable: for an inductive system $(A_n)_{n=1}^\infty$ of separable C^* -algebras, there is a natural exact sequence

$$0 \longrightarrow \varprojlim^1 E(A_n, SB) \longrightarrow E(\varinjlim A_n, B) \longrightarrow \varprojlim E(A_n, B) \longrightarrow 0$$

where $SB = C_0(\mathbb{R}) \otimes B$ denotes the suspension of B . (See [22, Theorem 7.1] for a more general treatment.) It turns out that the \varprojlim^1 term is always mapped into the closure of $\{0\}$, which leads to the continuity of the functor $EL(\cdot, B)$. As a consequence, we obtain a new continuity result for KL .

Theorem B. *If $(A_n)_{n=1}^\infty$ is an inductive system of separable C^* -algebras and B is a separable C^* -algebra, then the natural map*

$$EL\left(\varinjlim A_n, B\right) \longrightarrow \varprojlim EL(A_n, B)$$

is an isomorphism. In particular, if each A_n is nuclear, then the natural map

$$KL\left(\varinjlim A_n, B\right) \longrightarrow \varprojlim KL(A_n, B)$$

is an isomorphism.

While we have stated our main results in terms of E -theory, the main body of the paper is actually concerned with the set $[[A, B]]$ of homotopy classes of asymptotic morphisms from A to B (see Section 1.1). As we remind the reader in Section 4.2, $E(A, B)$ is defined as $[[SA \otimes \mathcal{K}, SB \otimes \mathcal{K}]]$, where \mathcal{K} denotes the C^* -algebra of compact operators on a separable infinite-dimensional Hilbert space. However, the group structure furnished by stabilizing and suspending is not relevant to our development of the topology in Theorem A. The topology on $[[A, B]]$ is introduced in Section 2, and its Hausdorffization is studied in Section 3.

The various definitions of the topology on $KK(A, B)$ are typically built using representation theoretic pictures of KK -theory. In the absence of such a description of E -theory, we opt for a new approach that employs the shape theoretic methods of [8] and a generalization of Blackadar’s homotopy lifting property for semiprojective C^* -algebras from [3] (see Theorem 1.7). Shape theory for C^* -algebras was first introduced by Effros and Kaminker in [11] and refined by Blackadar in [1]. Building on the techniques of [8], which related shape theory and E -theory, we prove the following result. (See Section 4 for the relevant definitions.)

Theorem C. *There is an equivalence between the shape category and the Hausdorffized asymptotic morphism category.*

Finally, we give a brief heuristic description of the new methods developed in this paper. Dadarlat showed in [8] that given a homotopy commuting diagram

$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & A_{n-1} & \longrightarrow & A_n & \longrightarrow & A_{n+1} & \longrightarrow & \cdots & \xrightarrow{\lim} & A_n \\
 & & \downarrow & & \downarrow & & \downarrow & & & & \downarrow \approx \\
 \cdots & \longrightarrow & B_{n-1} & \longrightarrow & B_n & \longrightarrow & B_{n+1} & \longrightarrow & \cdots & \xrightarrow{\lim} & B_n
 \end{array}$$

together with a distinguished choice of homotopy at each stage, there is a limiting asymptotic morphism $\varinjlim A_n \xrightarrow{\approx} \varinjlim B_n$. We prove that this homotopy limit is independent of the choice of homotopies up to Hausdorffization (Proposition 3.5). Once restricted to the setting where the inductive systems are shape systems, this homotopy limit functor provides the equivalence in Theorem C. In fact, the systematic use of this idea is behind many of the results in this paper.

1 | ASYMPTOTIC MORPHISMS AND SEMIPROJECTIVITY

This preliminary section serves to set our notation and recall some results from [8] relating asymptotic morphisms to shape theory. The only somewhat new result is Corollary 1.8, which is a slight variation of Blackadar’s result in [3, Corollary 4.3] and has nearly the same proof.

1.1 | Asymptotic morphisms

For C^* -algebras A and B , an *asymptotic morphism* $\phi : A \xrightarrow{\approx} B$ is a collection of self-adjoint[†] linear maps $(\phi_t : A \rightarrow B)_{t \geq 0}$, indexed by the space \mathbb{R}_+ of nonnegative real numbers, such that

- (i) $t \mapsto \phi_t(a)$ is continuous for all $a \in A$, and
- (ii) $\lim_{t \rightarrow \infty} \|\phi_t(ab) - \phi_t(a)\phi_t(b)\|$ for all $a, b \in A$.

Note that every $*$ -homomorphism $\phi : A \rightarrow B$ may be viewed as an asymptotic morphism that is constant in t . If A, B , and D are C^* -algebras, $\phi : A \xrightarrow{\approx} B$ is an asymptotic morphism, and $\psi : B \rightarrow D$ is a $*$ -homomorphism, we may define an asymptotic morphism $\psi \circ \phi : A \xrightarrow{\approx} D$ by $(\psi \circ \phi)_t = \psi \circ \phi_t$. Similarly, if $\psi : D \rightarrow A$ is a $*$ -homomorphism, we have an asymptotic morphism $\phi \circ \psi : D \xrightarrow{\approx} B$ given by $(\phi \circ \psi)_t = \phi_t \circ \psi$.

We emphasize that the ϕ_t are not assumed to be bounded. However, as noted in [7, p. 102] (see also [2, Proposition 25.1.3]), an asymptotic morphism is always “asymptotically contractive” in the sense that

- (iii) $\limsup_{t \rightarrow \infty} \|\phi_t(a)\| \leq \|a\|$ for all $a \in A$.

It follows that any asymptotic morphism $\phi : A \xrightarrow{\approx} B$ induces a $*$ -homomorphism $\phi_{\text{as}} : A \rightarrow B_{\text{as}}$, where $B_{\text{as}} = C_b(\mathbb{R}_+, B)/C_0(\mathbb{R}_+, B)$ is the *asymptotic algebra* of B . Conversely, given a $*$ -homomorphism $A \rightarrow B_{\text{as}}$ and a self-adjoint linear lift $\Phi : A \rightarrow C_b(\mathbb{R}_+, B)$, there is an induced

[†] That is, $\phi(a^*) = \phi(a)^*$ for all $a \in A$.

asymptotic morphism $\phi : A \xrightarrow{\approx} B$ defined by $\phi_t(a) = \Phi(a)(t)$ for $a \in A$ and $t \in \mathbb{R}_+$. Then Φ lifts ϕ_{as} .

Two asymptotic morphisms $\phi, \psi : A \xrightarrow{\approx} B$ are *equivalent*, written $\phi \cong \psi$, if

$$\lim_{t \rightarrow \infty} \|\phi_t(a) - \psi_t(a)\| = 0$$

for all $a \in A$. Note that $\phi \cong \psi$ if and only if $\phi_{\text{as}} = \psi_{\text{as}}$. We say ϕ and ψ are *asymptotically homotopic* if there is an asymptotic morphism $\theta : A \xrightarrow{\approx} C([0, 1], B)$ such that $\text{ev}_0 \circ \theta \cong \phi$ and $\text{ev}_1 \circ \theta \cong \psi$. We let $[[\phi]]$ denote the equivalence class of an asymptotic morphism $\phi : A \xrightarrow{\approx} B$ under asymptotic homotopy and let $[[A, B]]$ denote the set of such equivalence classes.

There is no natural way of composing asymptotic morphisms, but there is a well-defined composition up to asymptotic homotopy, as shown in [7, Proposition 4] (see also [2, Theorem 25.3.1]). The composition defined below extends the usual composition of $*$ -homomorphisms and, more generally, the composition of a $*$ -homomorphism with an asymptotic morphism discussed above. This construction uses separability of the domain algebras and is the main reason separability hypotheses arise in our results. (The statement in [2, Theorem 25.3.1] asks that all three C^* -algebras be separable, but examining the proof shows that the separability of D is not needed.)

Theorem 1.1. *If $A, B,$ and D are C^* -algebras with A and B separable and $\phi : A \xrightarrow{\approx} B$ and $\psi : B \xrightarrow{\approx} D$ are asymptotic morphisms, then there is a homeomorphism $r_0 : [0, \infty) \rightarrow [0, \infty)$ such that for all homeomorphisms $r : [0, \infty) \rightarrow [0, \infty)$ with $r(t) \geq r_0(t)$ for all $t \geq 0$, we have $(\psi_{r(t)} \circ \phi_t)_{t \geq 0}$ is an asymptotic morphism. Moreover, the homotopy equivalence class of this asymptotic morphism, written $[[\psi]] \circ [[\phi]]$, is independent of the choice of r .*

Following Connes and Higson [7], we consider the category AM, henceforth called the *asymptotic category*, whose objects are separable C^* -algebras, whose morphisms from A to B form the set $[[A, B]]$, and whose composition is given by Theorem 1.1.

1.2 | Semiprojectivity and shape systems

We will write $(\underline{A}, \underline{\alpha})$ to denote an inductive system of C^* -algebras

$$A_1 \xrightarrow{\alpha_1} A_2 \xrightarrow{\alpha_2} A_3 \xrightarrow{\alpha_3} \dots$$

As usual, for integers $n > m \geq 1$, we write

$$\alpha_{n,m} = \alpha_{n-1} \circ \dots \circ \alpha_m : A_m \rightarrow A_n.$$

For $n \geq 1$, we also write $\alpha_{n,n} = \text{id}_{A_n}$ and $\alpha_{\infty,n}$ for the natural map $A_n \rightarrow \varinjlim (\underline{A}, \underline{\alpha})$.

Recall from [1, Definition 2.10] that a $*$ -homomorphism $\alpha : A_0 \rightarrow \varinjlim (\underline{A}, \underline{\alpha})$ between separable C^* -algebras is *semiprojective* if whenever $(\underline{B}, \underline{\beta})$ is an inductive system with each β_n surjective and $\phi : A \rightarrow \varinjlim (\underline{B}, \underline{\beta})$ is a $*$ -homomorphism, there are an integer $n \in \mathbb{N}$ and a $*$ -homomorphism

$\tilde{\phi} : A_0 \rightarrow B_n$ such that $\beta_{\infty,n} \circ \tilde{\phi} = \phi \circ \alpha$. A *shape system* for a C^* -algebra A is an inductive system $(\underline{A}, \underline{\alpha})$ such that each α_n is semiprojective and $\varinjlim (\underline{A}, \underline{\alpha})$ is isomorphic to A . Every separable C^* -algebra admits a shape system $(\underline{A}, \underline{\alpha})$; in fact, one may take each α_n to be surjective. See [1, Theorem 4.3].

If A, B , and D are separable C^* -algebras, $\alpha : A \rightarrow B$ and $\beta : B \rightarrow D$ are $*$ -homomorphisms, and either α or β is semiprojective, then $\beta \circ \alpha$ is also semiprojective. We will use this to show every semiprojective $*$ -homomorphism factors as a composition of two semiprojective $*$ -homomorphisms.

Lemma 1.2. *If A_0 and A are separable C^* -algebras and $\alpha : A_0 \rightarrow A$ is a semiprojective $*$ -homomorphism, then there are a separable C^* -algebra A_1 and semiprojective $*$ -homomorphisms $\alpha_0 : A_0 \rightarrow A_1$ and $\alpha_1 : A_1 \rightarrow A$ such that $\alpha = \alpha_1 \circ \alpha_0$.*

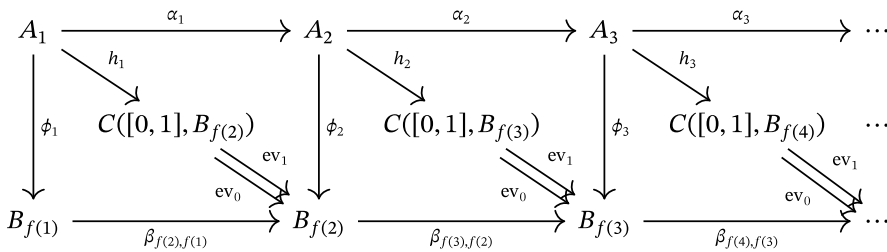
Proof. Fix a shape system $(\underline{A}', \underline{\alpha}')$ for A with each α'_n surjective. Because α is semiprojective, there are an integer $n \geq 1$ and a $*$ -homomorphism $\tilde{\alpha} : A \rightarrow A'_n$ such that $\alpha = \alpha'_{\infty,n} \circ \tilde{\alpha}$. Define $A_1 = A'_{n+1}$, $\alpha_0 = \alpha'_n \circ \tilde{\alpha}$, and $\alpha_1 = \alpha'_{\infty,n+1}$. Then, α_0 and α_1 are semiprojective since α'_n and α'_{n+1} are. □

Shape systems provide a convenient formalism for defining asymptotic morphisms, as first noted in [8].

Definition 1.3. Given inductive systems $(\underline{A}, \underline{\alpha})$ and $(\underline{B}, \underline{\beta})$, a *strong homotopy morphism* $(f, \underline{\phi}, \underline{h}) : (\underline{A}, \underline{\alpha}) \xrightarrow{\sim} (\underline{B}, \underline{\beta})$ consists of a strictly increasing map $f : \mathbb{N} \rightarrow \mathbb{N}$ and sequences of $*$ -homomorphisms

$$\underline{\phi} = (\phi : A_n \rightarrow B_{f(n)})_{n=1}^{\infty} \quad \text{and} \quad \underline{h} = (h_n : A \rightarrow C([0, 1], B_{f(n+1)}))_{n=1}^{\infty}$$

such that for all $n \in \mathbb{N}$, $\text{ev}_0 \circ h_n = \beta_{f(n+1), f(n)} \circ \phi_n$ and $\text{ev}_1 \circ h_n = \phi_{n+1} \circ \alpha_{n+1, n}$. This can be visualized as a diagram



with commuting triangles. A *homotopy morphism* of inductive systems is a pair $(f, \underline{\phi})$ satisfying the above conditions for some sequence of homotopies \underline{h} . In either case, when $f = \text{id}_{\mathbb{N}}$, we will drop it from the notation, writing $\underline{\phi}$ and $(\underline{\phi}, \underline{h})$ in place of $(\text{id}_{\mathbb{N}}, \underline{\phi})$ and $(\text{id}_{\mathbb{N}}, \underline{\phi}, \underline{h})$.

Dadarlat showed in [8, section 2] that a strong homotopy morphism has a *homotopy limit*, defined as an asymptotic morphism on the corresponding inductive limit algebras. We briefly recall the definition of this functor as it is central to this paper.

Definition 1.4. Given a strong homotopy morphism

$$(f, \underline{\phi}, \underline{h}) : (\underline{A}, \underline{\alpha}) \xrightarrow{\approx} (\underline{B}, \underline{\beta}),$$

let $A = \varinjlim (\underline{A}, \underline{\alpha})$ and $B = \varinjlim (\underline{B}, \underline{\beta})$. For $n \in \mathbb{N}$, define $\Phi_n : A_n \rightarrow C_b(\mathbb{R}_{\geq n}, B)$ by

$$\Phi_n(a)(t) = \beta_{\infty, f(m+1)}(h_m(\alpha_{m,n}(a))(t - m))$$

for $m \geq n$ and $m \leq t < m + 1$. If $\rho_n : C_b(\mathbb{R}_{\geq n}, B) \rightarrow C_b(\mathbb{R}_{\geq(n+1)}, B)$ is the restriction map, we have a commuting diagram

$$\begin{array}{ccccccc} A_1 & \xrightarrow{\alpha_1} & A_2 & \xrightarrow{\alpha_2} & A_3 & \xrightarrow{\alpha_3} & \dots & A \\ \downarrow \Phi_1 & & \downarrow \Phi_2 & & \downarrow \Phi_3 & & & \downarrow \phi_{as} \\ C_b(\mathbb{R}_{\geq 1}, B) & \xrightarrow{\rho_1} & C_b(\mathbb{R}_{\geq 2}, B) & \xrightarrow{\rho_2} & C_b(\mathbb{R}_{\geq 3}, B) & \xrightarrow{\rho_3} & \dots & B_{as} \end{array}$$

which induces a *-homomorphism $\phi_{as} : A \rightarrow B_{as}$. This, in turn, lifts to an asymptotic morphism $\phi : A \xrightarrow{\approx} B$. We define $\text{h-}\varinjlim (f, \underline{\phi}, \underline{h}) = \phi$, which is well defined up to equivalence (since ϕ_{as} is well defined).

The homotopy limit satisfies the expected compatibility property with the sequence of morphisms defining it.

Proposition 1.5. *If $(f, \underline{\phi}, \underline{h}) : (\underline{A}, \underline{\alpha}) \xrightarrow{\approx} (\underline{B}, \underline{\beta})$ is a strong homotopy morphism of inductive systems with homotopy limit $\phi : A \xrightarrow{\approx} B$, then $[[\phi \circ \alpha_{\infty, n}]] = [[\beta_{\infty, f(n)} \circ \phi_n]]$ for all $n \in \mathbb{N}$.*

Proof. Given $n \in \mathbb{N}$, define $\Theta_n : A_n \rightarrow C_b(\mathbb{R}_{\geq n}, C([0, 1], B))$ by

$$\Theta_n(a)(t)(s) = \Phi_n(a)(s(t - n) + n)$$

for $a \in A_n$, $t \in \mathbb{R}_{\geq n}$, and $s \in [0, 1]$. The asymptotic morphism $\theta_n : A \xrightarrow{\approx} C([0, 1], B)$ induced by Θ_n is the desired homotopy. □

The following result is due to Dadarlat in [8, Corollary 3.15].

Theorem 1.6. *If $(\underline{A}, \underline{\alpha})$ is a shape system with limit A and $(\underline{B}, \underline{\beta})$ is an inductive system with limit B , then every asymptotic morphism $\phi : A \xrightarrow{\approx} B$ has the form $\text{h-}\varinjlim (f, \underline{\phi}, \underline{h})$ for a suitable strong homotopy morphism $(f, \underline{\phi}, \underline{h})$ of the inductive systems.*

Note that any C^* -algebra B is the limit of the inductive system $(\underline{B}, \underline{\beta})$ where $B_n = B$ and $\beta_n = \text{id}_B$ for all $n \in \mathbb{N}$. In this case, we will often abuse notation and write $(\underline{\phi}, \underline{h}) : (\underline{A}, \underline{\alpha}) \xrightarrow{\approx} B$ for a strong homotopy morphism $(\text{id}_{\mathbb{N}}, \underline{\phi}, \underline{h})$.

1.3 | Homotopy stability

A separable C^* -algebra A is called *semiprojective* if id_A is semiprojective. Blackadar proved in [3, Corollary 4.3] that if $\phi, \psi : A \rightarrow B$ are $*$ -homomorphisms between C^* -algebras and are sufficiently close in the point-norm topology and A is separable and semiprojective, then ϕ and ψ are homotopic (with the bound depending only on A). This subsection is devoted to proving a relative version of Blackadar’s result for semiprojective $*$ -homomorphisms (Corollary 1.8); the proof is very similar. We begin with a lifting result similar to [3, Theorem 4.1].

Theorem 1.7. *Let A_0 and A be separable C^* -algebras and let $\alpha : A_0 \rightarrow A$ be a semiprojective $*$ -homomorphism. For every finite set $\mathcal{F} \subseteq A_0$ and $\epsilon > 0$, there are a finite set $\mathcal{G} \subseteq A$ and $\delta > 0$ such that for all C^* -algebras B and E , surjective $*$ -homomorphisms $q : E \rightarrow B$, and $*$ -homomorphisms $\phi, \psi : A \rightarrow B$ such that $\|\phi(a) - \psi(a)\| < \delta$ for all $a \in \mathcal{G}$, if $\tilde{\phi} : A \rightarrow E$ is a $*$ -homomorphism such that $q \circ \tilde{\phi} = \phi$, then there is a $*$ -homomorphism $\tilde{\psi} : A_0 \rightarrow E$ such that $q \circ \tilde{\psi} = \psi \circ \alpha$ and $\|\tilde{\phi}(\alpha(a)) - \tilde{\psi}(a)\| < \epsilon$ for all $a \in \mathcal{F}$.*

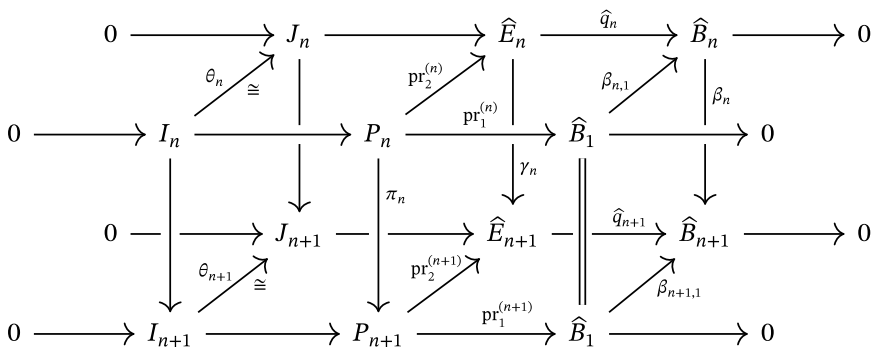
Proof. Suppose the result is false and choose a finite set $\mathcal{F} \subseteq A_0$ and $\epsilon > 0$ for which the result fails. Let $\mathcal{G}_n \subseteq A$ be an increasing sequence of finite sets with dense union and let $\delta_n > 0$ be a decreasing sequence converging to 0. For each $n \geq 1$, choose C^* -algebras B_n and E_n , a surjective $*$ -homomorphism $q_n : E_n \rightarrow B_n$, $*$ -homomorphisms $\phi_n, \psi_n : A \rightarrow B_n$ with $\|\phi(a) - \psi(a)\| < \delta_n$ for all $a \in \mathcal{G}_n$, and a $*$ -homomorphism $\tilde{\phi}_n : A \rightarrow E_n$ with $q_n \circ \tilde{\phi}_n = \phi_n$ such that for all $*$ -homomorphisms $\tilde{\psi}_n : A_0 \rightarrow E_n$ with $q_n \circ \tilde{\psi}_n = \psi_n \circ \alpha$, there is an $a \in \mathcal{F}$ such that $\|\tilde{\phi}_n(\alpha(a)) - \tilde{\psi}_n(a)\| \geq \epsilon$.

Let $\hat{B}_n = \prod_{m \geq n} B_m$, $\hat{E}_n = \prod_{m \geq n} E_m$, and $\hat{q}_n = \prod_{m \geq n} q_m : \hat{E}_n \rightarrow \hat{B}_n$. Let $\beta_n : \hat{B}_n \rightarrow \hat{B}_{n+1}$ and $\gamma_n : \hat{E}_n \rightarrow \hat{E}_{n+1}$ denote the projection maps. Let \hat{B} and \hat{E} denote the inductive limits of (\hat{B}_n, β_n) and (\hat{E}_n, γ_n) , respectively, and let $\hat{q} : \hat{E} \rightarrow \hat{B}$ denote the $*$ -homomorphism induced by the \hat{q}_n . Finally, define $\Phi_n = \prod_{m \geq n} \phi_m$, $\tilde{\Phi}_n = \prod_{m \geq n} \tilde{\phi}_m$, and $\Psi_n = \prod_{m \geq n} \psi_m$, and let $\Phi, \Psi : A \rightarrow \hat{B}$ and $\tilde{\Phi} : A \rightarrow \hat{E}$ denote the induced maps. Note that $\|\phi_n(a) - \psi_n(a)\| \rightarrow 0$ for all $a \in A$, and hence $\Phi = \Psi$.

For $n \geq 1$, consider the pullback

$$P_n = \hat{B}_1 \oplus_{\hat{B}_n} \hat{E}_n = \{(b, e) \in \hat{B}_1 \oplus \hat{E}_n : \beta_{n,1}(b) = \hat{q}_n(e)\},$$

and let $\text{pr}_1^{(n)} : P_n \rightarrow \hat{B}_1$ and $\text{pr}_2^{(n)} : P_n \rightarrow \hat{E}_n$ denote the projection maps. Let J_n be the kernel of \hat{q}_n and let I_n be the kernel of $\text{pr}_1^{(n)}$. There are canonical maps $\theta_n : I_n \rightarrow J_n$ and $\pi_n : P_n \rightarrow P_{n+1}$ such that the diagram



commutes has exact rows. A diagram chase shows that each θ_n is an isomorphism and each π_n is surjective. Taking the inductive limit over n produces a diagram

$$\begin{array}{ccccccc}
 0 & \longrightarrow & I & \longrightarrow & P & \xrightarrow{\text{pr}_1^{(\infty)}} & \widehat{B}_1 \longrightarrow 0 \\
 & & \cong \downarrow \theta & & \downarrow \text{pr}_2^{(\infty)} & & \downarrow \beta_{\infty,1} \\
 0 & \longrightarrow & J & \longrightarrow & \widehat{E} & \xrightarrow{\widehat{q}} & \widehat{B} \longrightarrow 0
 \end{array}$$

that commutes; note that the rows are exact. A diagram chase shows the right-hand square is a pullback.

The maps $\Psi_1 : A \rightarrow \widehat{B}_1$ and $\tilde{\Phi} : A \rightarrow \widehat{E}$ induce a $*$ -homomorphism $\rho : A \rightarrow P$. Because α is semiprojective, there are an integer $n \geq 1$ and a $*$ -homomorphism $\tilde{\rho} : A_0 \rightarrow P_n$ such that $\pi_{\infty,n} \circ \tilde{\rho} = \rho \circ \alpha$. Write $\text{pr}_2^{(n)} \circ \tilde{\rho} = \prod_{m \geq n} \tilde{\psi}_m$ for $*$ -homomorphisms $\tilde{\psi}_m : A_0 \rightarrow E_m$, $m \geq n$. By construction, $q_m \circ \tilde{\psi}_m = \psi_m$ for all $m \geq n$, and $\lim_{m \rightarrow \infty} \|\tilde{\phi}_m(\alpha(a)) - \tilde{\psi}_m(a)\| = 0$ for all $a \in A_0$, giving a contradiction. \square

The following homotopy stability property will be used frequently. The special case when $\alpha_0 = \text{id}_A$ is [3, Corollary 4.3].

Corollary 1.8. *Let A_0 and A be separable C^* -algebras and let $\alpha : A_0 \rightarrow A$ be a semiprojective $*$ -homomorphism. There are a finite set $\mathcal{G} \subseteq A$ and $\delta > 0$ such that for all C^* -algebras B and all $*$ -homomorphisms $\phi, \psi : A \rightarrow B$, if $\|\phi(a) - \psi(a)\| < \delta$ for all $a \in \mathcal{G}$, then $\phi \circ \alpha$ is homotopic to $\psi \circ \alpha$.*

Proof. In the notation of Theorem 1.7, let $\mathcal{F} = \emptyset$ and $\epsilon = 1$, and choose \mathcal{G} and δ accordingly. Consider the surjective $*$ -homomorphism $q : C([0, 1], B) \rightarrow B \oplus B$ given by $q(f) = (f(0), f(1))$. Assume $\phi, \psi : A \rightarrow B$ are (\mathcal{G}, δ) -close. The $*$ -homomorphism $\phi \oplus \psi : A \rightarrow B \oplus B$ lifts to $E = C([0, 1], B)$ and is (\mathcal{G}, δ) -close to $\phi \oplus \psi : A \rightarrow B$, so by Theorem 1.7, $(\phi \oplus \psi) \circ \alpha$ lifts to a $*$ -homomorphism $A_0 \rightarrow E$. This lift is the desired homotopy. \square

2 | THE TOPOLOGY ON $[[A, B]]$

Recall that for C^* -algebras A and B with A separable, $[[A, B]]$ is the set of homotopy classes of asymptotic morphisms from A to B . We will show that there is a topology on $[[A, B]]$ with properties analogous to those described in Theorem A and for which the composition product is jointly continuous. The topology will be defined in Section 2.1. Sections 2.2 and 2.3 will address the characterization of convergence and the continuity of composition, respectively.

2.1 | Definition of the topology

Before introducing the topology on $[[A, B]]$, we will need a few relatively standard results that provide homotopy factorization of asymptotic morphisms through genuine $*$ -homomorphisms in the presence of semiprojectivity.

Lemma 2.1. *Let A_0, A , and B be C^* -algebras with A_0 and A separable. If $\alpha : A_0 \rightarrow A$ is a semiprojective $*$ -homomorphism and $\phi : A \xrightarrow{\sim} B$ is an asymptotic morphism, then there is an asymptotic morphism $\tilde{\phi} : A_0 \xrightarrow{\sim} B$ such that $\tilde{\phi} \cong \phi \circ \alpha$ and $\tilde{\phi}_t$ is a $*$ -homomorphism for all $t \geq 0$.*

Proof. For $n \geq 1$, let $\rho_n : C_b(\mathbb{R}_{\geq n}, B) \rightarrow C_b(\mathbb{R}_{\geq(n+1)}, B)$ be the restriction map and identify the limit of this inductive system with $B_{as} = C_b(\mathbb{R}^+, B)/C_0(\mathbb{R}^+, B)$. Since α_0 is semiprojective and each ρ_n is surjective, there are an integer $n \geq 1$ and a $*$ -homomorphism $\Phi : A_0 \rightarrow C_b(\mathbb{R}_{\geq n}, B)$ such that $\rho_{\infty, n} \circ \Phi = \phi_{as} \circ \alpha$. Define $\tilde{\phi} : A \xrightarrow{\sim} B$ by $\tilde{\phi}_t(a) = \Phi(a)(t)$ for $t \geq n$ and $\tilde{\phi}_t(a) = \Phi(a)(n)$ for $0 \leq t < n$. By construction, $\tilde{\phi} \cong \phi \circ \alpha$. □

For C^* -algebras A and B with A separable, we let $H(A, B) \subseteq [[A, B]]$ denote the asymptotic homotopy equivalence classes of $*$ -homomorphisms $A \rightarrow B$.

Lemma 2.2. *Let A_0, A , and B be C^* -algebras with A_0 and A separable. If $\alpha : A_0 \rightarrow A$ is a semiprojective morphism and $\phi : A \xrightarrow{\sim} B$ is an asymptotic morphism, then there is a $*$ -homomorphism $\psi : A_0 \rightarrow B$ such that $[[\psi]] = [[\phi \circ \alpha]]$. In particular, α induces a map*

$$\alpha^* : [[A, B]] \rightarrow H(A_0, B) \subseteq [[A_0, B]].$$

Proof. Let $\tilde{\phi} : A_0 \xrightarrow{\sim} B$ be given as in Lemma 2.1 and set $\psi = \tilde{\phi}_0$. For $t \geq 0$, define $\theta_t : A \rightarrow C([0, 1], B)$ by $\theta_t(a)(s) = \tilde{\phi}_{st}(a)$ for all $a \in A_0$ and $s \in [0, 1]$. Then, θ_t is an asymptotic homotopy between ψ and $\tilde{\phi}$. Hence, $[[\psi]] = [[\tilde{\phi}]] = [[\phi \circ \alpha]]$. □

We will use the maps in Lemma 2.2 to define the topology on $[[A, B]]$.

Definition 2.3. Let A and B be C^* -algebras with A separable.

- (i) We equip the set $\text{Hom}(A, B)$ of $*$ -homomorphisms $A \rightarrow B$ with the point-norm topology, so $\phi_n \rightarrow \phi$ if and only if $\|\phi_n(a) - \phi(a)\| \rightarrow 0$ for all $a \in A$.
- (ii) We equip the image $H(A, B)$ of the natural map $\text{Hom}(A, B) \rightarrow [[A, B]]$ with the quotient topology inherited from $\text{Hom}(A, B)$.
- (iii) We equip $[[A, B]]$ with the weakest topology such that for every separable C^* -algebra A_0 and every semiprojective $*$ -homomorphism $\alpha : A_0 \rightarrow A$, the map $\alpha^* : [[A, B]] \rightarrow H(A_0, B)$ given in Lemma 2.2 is continuous.

Note that the set $H(A, B)$ carries two natural topologies: the quotient topology from $\text{Hom}(A, B)$ and the subspace topology from $[[A, B]]$. We will always use the former topology on $H(A, B)$. We do not know if these topologies coincide, but they are related via the following straightforward result.

Proposition 2.4. *If A and B are C^* -algebras with A separable, then the inclusion map $H(A, B) \rightarrow [[A, B]]$ is continuous.*

Proof. It is enough to show that for every separable C^* -algebra A_0 and semiprojective $*$ -homomorphism $\alpha : A_0 \rightarrow A$, the map $\alpha^* : H(A, B) \rightarrow H(A_0, B)$ is continuous. This is immediate since the corresponding map $\alpha^* : \text{Hom}(A, B) \rightarrow \text{Hom}(A_0, B)$ is continuous. □

The next lemma is stated in [25, Theorem 2.3], where it is noted that it follows from the closely related results in [1, Section 3]. We include the details as they are omitted in [25].

Lemma 2.5. *Let A and B be separable C^* -algebras and suppose B is the limit of an inductive system $(\underline{B}, \underline{\beta})$. If $\alpha : A \rightarrow B$ is a semiprojective $*$ -homomorphism, then there exist an integer $n \geq 1$ and a $*$ -homomorphism $\tilde{\alpha} : A \rightarrow B_n$ such that $\beta_{\infty,n} \circ \tilde{\alpha}$ is homotopic to α .*

Proof. Lemma 1.2 implies there are a separable C^* -algebra A_1 and semiprojective $*$ -homomorphisms $\alpha_0 : A \rightarrow A_1$ and $\alpha_1 : A_1 \rightarrow B$ such that $\alpha = \alpha_1 \circ \alpha_0$. Now, [1, Theorem 3.1] provides an integer $n \geq 1$ and a $*$ -homomorphism $\tilde{\alpha} : A \rightarrow B_n$ such that $\beta_{\infty,n} \circ \tilde{\alpha}$ is homotopic to $\alpha_1 \circ \alpha_0$. □

The following result gives a slightly simpler description of the topology by restricting the collection of semiprojective morphisms that need to be considered in Definition 2.3.

Proposition 2.6. *If A and B are C^* -algebras with A separable and $(\underline{A}, \underline{\alpha})$ is a shape system for A , then the topology on $[[A, B]]$ is the weakest topology such that for all $n \geq 1$, the map $\alpha_{\infty,n}^* : [[A, B]] \rightarrow H(A_n, B)$ of Lemma 2.2 is continuous.*

Proof. By the definition of the topology on $[[A, B]]$, each of the maps $\alpha_{\infty,n}^*$ is continuous. It suffices to show that if X is a topological space, $f : X \rightarrow [[A, B]]$, and $\alpha_{\infty,n}^* \circ f$ is continuous for all $n \geq 1$, then f is continuous. To this end, we must show $\alpha^* \circ f$ is continuous for all separable C^* -algebras A_0 and semiprojective $*$ -homomorphisms $\alpha : A_0 \rightarrow A$. Fix such A_0 and α . Using Lemma 2.5 and that α is semiprojective, we obtain an integer $n \geq 1$ and a $*$ -homomorphism $\tilde{\alpha} : A_0 \rightarrow A_n$ such that $\alpha_{\infty,n} \circ \tilde{\alpha}$ is homotopic to α . Then α^* factors as

$$[[A, B]] \xrightarrow{\alpha_{\infty,n}^*} H(A_n, B) \xrightarrow{\tilde{\alpha}^*} H(A_0, B).$$

Since $\alpha_{\infty,n}^* \circ f$ and $\tilde{\alpha}^*$ are continuous, so is $\alpha^* \circ f$. □

The following standard result allows us to replace asymptotic homotopies of $*$ -homomorphisms with genuine homotopies in the presence of semiprojectivity. When $\alpha = \text{id}_A$, this follows from [2, Proposition 25.1.7], for example. It will be strengthened in Lemma 3.4.

Lemma 2.7. *Let A_0, A , and B be C^* -algebras with A_0 and A separable and let $\alpha : A_0 \rightarrow B$ be a semiprojective $*$ -homomorphism. If $\phi, \psi : A \rightarrow B$ are $*$ -homomorphisms with $[[\phi]] = [[\psi]]$, then $\phi \circ \alpha$ and $\psi \circ \alpha$ are homotopic.*

Proof. Lemma 1.2 implies there are a separable C^* -algebra A_1 and semiprojective $*$ -homomorphisms $\alpha_0 : A_0 \rightarrow A_1$ and $\alpha_1 : A_1 \rightarrow A$ such that $\alpha = \alpha_1 \circ \alpha_0$. Use Corollary 1.8 to produce a finite set $\mathcal{G} \subseteq A_1$ and $\delta > 0$ such that if $\phi', \psi' : A_1 \rightarrow B$ are $*$ -homomorphisms with $\|\phi'(a) - \psi'(a)\| < \delta$ for all $a \in \mathcal{G}$, then $\phi' \circ \alpha_0$ and $\psi' \circ \alpha_0$ are homotopic.

By the definition of asymptotic homotopy equivalence and Lemma 2.1, there is an asymptotic morphism $\theta : A_1 \xrightarrow{\sim} C([0, 1], B)$ such that θ_t is a $*$ -homomorphism for all $t \in \mathbb{R}_+$, $\text{ev}_0 \circ \theta \cong \phi \circ \alpha_1$, and $\text{ev}_1 \circ \theta \cong \psi \circ \alpha_1$. For some sufficiently large $t_0 \in \mathbb{R}_+$, we have $\|\theta_{t_0}(a)(0) - \phi(\alpha_1(a))\| < \delta$ and $\|\theta_{t_0}(a)(1) - \psi(\alpha_1(a))\| < \delta$ for all $a \in \mathcal{G}$. By the choice of \mathcal{G} and δ , $\phi \circ \alpha$ is homotopic to $\text{ev}_0 \circ \theta_{t_0} \circ \alpha_0$

and $\psi \circ \alpha$ is homotopic to $ev_1 \circ \theta_{t_0} \circ \alpha_0$. Since $ev_0 \circ \theta_{t_0} \circ \alpha_0$ and $ev_1 \circ \theta_{t_0} \circ \alpha_0$ are homotopic, so are $\phi \circ \alpha$ and $\psi \circ \alpha$. □

The following result and its corollary below will allow us to deduce structural properties of the topology on asymptotic morphisms.

Proposition 2.8. *Let A_0, A , and B be C^* -algebras with A_0 and A separable and let $\alpha : A_0 \rightarrow A$ be a semiprojective $*$ -homomorphisms. The natural map*

$$\alpha^* : H(A, B) \rightarrow H(A_0, B)$$

factors through a discrete topological space. Moreover, if B is separable, then this space may be taken to be countable.

Proof. By Lemma 1.2, there are a separable C^* -algebra A_1 and semiprojective $*$ -homomorphisms $\alpha_0 : A_0 \rightarrow A_1$ and $\alpha_1 : A_1 \rightarrow A$ such that $\alpha = \alpha_1 \circ \alpha_0$. By Corollary 1.8, there are finite set $\mathcal{G} \subseteq A_1$ and $\delta > 0$ such that for all $*$ -homomorphisms $\phi, \psi : A_1 \rightarrow B$, if $\|\phi(a) - \psi(a)\| < \delta$ for all $a \in \mathcal{G}$, then $\phi \circ \alpha_0$ and $\psi \circ \alpha_0$ are homotopic.

Let \sim be the equivalence relation on $\text{Hom}(A_1, B)$ generated by declaring $\phi \sim \psi$ if $\|\phi(a) - \psi(a)\| < \delta$ for all $a \in \mathcal{G}$. Let $X = \text{Hom}(A_1, B) / \sim$ be the quotient space and let $q : \text{Hom}(A_1, B) \rightarrow X$ be the quotient map. For all $x \in X$, the set $q^{-1}(x) \subseteq \text{Hom}(A_1, B)$ is open, and hence X is discrete. It follows immediately that q is open. When B is separable, $\text{Hom}(A, B)$ is second countable (being a separable metrizable space), so X is also second countable and therefore countable.

If $\phi, \psi : A_1 \rightarrow B$ are $*$ -homomorphisms with $\phi \sim \psi$, then $\phi \circ \alpha_0$ and $\psi \circ \alpha_0$ are homotopic by the choice of \mathcal{G} and δ . Therefore, there is a continuous map $f : X \rightarrow H(A_0, B)$ by $f(q(\phi)) = [[\phi \circ \alpha_0]]$ for all $*$ -homomorphisms $\phi : A_1 \rightarrow B$. Now suppose $\phi, \psi : A \rightarrow B$ are $*$ -homomorphisms with $[[\phi]] = [[\psi]]$. By Lemma 2.7, $\phi \circ \alpha_1$ and $\psi \circ \alpha_1$ are homotopic, and in particular, $\phi \circ \alpha_1 \sim \psi \circ \alpha_1$. It follows that there is a continuous map $g : H(A, B) \rightarrow X$ defined by $g([[\phi]]) = q(\phi \circ \alpha_1)$ for all $*$ -homomorphisms $\phi : A \rightarrow B$. By construction, for all $*$ -homomorphisms $\phi : A \rightarrow B$, we have

$$f(g([[\phi]])) = f(q(\phi \circ \alpha_1)) = [[\phi \circ \alpha_1 \circ \alpha_0]] = [[\phi \circ \alpha]],$$

and thus $f \circ g = \alpha^*$. □

Corollary 2.9. *Let A_0, A , and B be C^* -algebras with A_0 and A separable and let $\alpha : A_0 \rightarrow A$ be semiprojective. The map*

$$\alpha^* : [[A, B]] \rightarrow H(A_0, B)$$

of Lemma 2.2 factors through a discrete topological space. Moreover, if B is separable, then this space may be taken to be countable.

Proof. Using Lemma 1.2, there are a separable C^* -algebra A_1 and semiprojective $*$ -homomorphisms $\alpha_0 : A_0 \rightarrow A_1$ and $\alpha_1 : A_1 \rightarrow A$ such that $\alpha = \alpha_1 \circ \alpha_0$. Then α^* factors as

$$[[A, B]] \xrightarrow{\alpha_1^*} H(A_1, B) \xrightarrow{\alpha_0^*} H(A_0, B).$$

The result follows from Proposition 2.8 applied to α_0 . □

The factorization result in the previous corollary allows us to prove countability axioms for the space $[[A, B]]$.

Theorem 2.10. *If A and B are C^* -algebras with A separable, then $[[A, B]]$ is first countable. If, in addition, B is separable, then $[[A, B]]$ is second countable.*

Proof. Fix a shape system $(\underline{A}, \underline{\alpha})$ for A . By Corollary 2.9, for each $n \in \mathbb{N}$, there are a discrete space X_n and continuous maps $g_n : [[A, B]] \rightarrow X_n$ and $f_n : X_n \rightarrow H(A_n, B)$ such that $\alpha_{\infty, n}^* = f_n \circ g_n$. Let $\phi : A \xrightarrow{\approx} B$ be an asymptotic morphism and let $U_n = g_n^{-1}(g_n([[\phi]]))$ for all $n \in \mathbb{N}$. We will show the sets $\{U_n : n \in \mathbb{N}\}$ form a neighborhood basis for $[[\phi]]$ in $[[A, B]]$.

For all $n \in \mathbb{N}$, the set U_n is open as g_n is continuous and X_n is discrete. By Proposition 2.6, open sets of the form $(\alpha_{\infty, n}^*)^{-1}(V_n)$, for an open set $V_n \subseteq H(A_n, B)$ and $n \in \mathbb{N}$, form a basis for $[[A, B]]$. Fix such an open set $(\alpha_{\infty, n}^*)^{-1}(V_n)$ containing $[[\phi]]$. Then, $g_n([[\phi]]) \in f_n^{-1}(V_n)$, and hence $U_n \subseteq (\alpha_{\infty, n}^*)^{-1}(V_n)$, which proves the claim.

When B is separable, we may take each X_n to be countable by Corollary 2.9. Then,

$$\bigcup_{n=1}^{\infty} \{g_n^{-1}(x_n) : x_n \in X_n\}$$

is a countable collection of open subsets of $[[A, B]]$ containing a neighborhood basis for each point in $[[A, B]]$. Hence, $[[A, B]]$ is second countable. □

2.2 | Convergence in $[[A, B]]$

Since the topology on $[[A, B]]$ is first countable (Theorem 2.10), it is determined by its convergent sequences. This subsection provides a characterization of sequential convergence in $[[A, B]]$ analogous to the characterization of convergence in $E(A, B)$ stated in Theorem A and the one of convergence in $KK(A, B)$ given in [9, Theorem 3.5]. We begin with the following weak continuity result for composition in $[[A, B]]$. We will later show in Theorem 2.15 that composition is in fact jointly continuous.

Lemma 2.11. *Let A, B , and D be C^* -algebras with A separable. If $(\phi_n)_{n=1}^{\infty}$ is a sequence in $\text{Hom}(B, D)$ converging to ϕ and $x \in [[A, B]]$, then $[[\phi_n]] \circ x \rightarrow [[\phi]] \circ x$ in $[[A, D]]$.*

Proof. It suffices to show that for each semiprojective $*$ -homomorphism $\alpha : A_0 \rightarrow A$, we have $\alpha^*([[\phi_n]] \circ x) \rightarrow \alpha^*([[\phi]] \circ x)$ in $H(A_0, D)$. Note that for each $n \in \mathbb{N}$, $\alpha^*([[\phi_n]] \circ x) = [[\phi_n]] \circ \alpha^*(x)$ and $\alpha^*([[\phi]] \circ x) = [[\phi]] \circ \alpha^*(x)$. By Lemma 2.2, there is a $*$ -homomorphism $\psi : A_0 \rightarrow B$ such that $\alpha^*(x) = [[\psi]]$. Since $\phi_n \circ \psi \rightarrow \phi \circ \psi$ in $\text{Hom}(A_0, D)$, the result follows. □

Write \mathbb{N} for the natural numbers equipped with the discrete topology and let $\mathbb{N}^\dagger = \mathbb{N} \cup \{\infty\}$ be the one-point compactification of \mathbb{N} . For C^* -algebras A and B with A separable, $y \in [[A, C(\mathbb{N}^\dagger, B)]]$, and $m \in \mathbb{N}^\dagger$, define $y(m) = [[\text{ev}_m]] \circ y \in [[A, B]]$.

Theorem 2.12 (Pimsner’s Condition). *Suppose A and B be C^* -algebras with A separable, $(x_m)_{m=1}^{\infty}$ is a sequence in $[[A, B]]$, and $x \in [[A, B]]$. Then, $x_m \rightarrow x$ in $[[A, B]]$ if and only if there exists $y \in [[A, C(\mathbb{N}^\dagger, B)]]$ such that $y(m) = x_m$ for all $m \in \mathbb{N}$ and $y(\infty) = x$.*

Proof. First suppose $y \in [[A, C(\mathbb{N}^\dagger, B)]]$ satisfies $y(m) = x_m$ for all $m \in \mathbb{N}$ and $y(\infty) = x$. Since $ev_m \rightarrow ev_\infty$ in $\text{Hom}(C(\mathbb{N}^\dagger, B), B)$, Lemma 2.11 implies $y(m) \rightarrow y(\infty)$.

Conversely, suppose $x_m \rightarrow x \in [[A, B]]$. Fix a shape system $(\underline{A}, \underline{\alpha})$ for A . Represent x_m and x by strong homotopy morphisms $(\underline{\phi}^{(m)}, \underline{h}^{(m)})$ and $(\underline{\phi}, \underline{h})$ from $(\underline{A}, \underline{\alpha})$ to B as in Theorem 1.6, where we are regarding B as the limit of a constant inductive system as in the remarks following Theorem 1.6. Write $\underline{\phi}^{(m)}$ and $\underline{\phi}$ for the homotopy limits of $(\underline{\phi}^{(m)}, \underline{h}^{(m)})$ and $(\underline{\phi}, \underline{h})$, respectively. Proposition 1.5 implies $[[\underline{\phi}_n^{(m)}]] = [[\underline{\phi}^{(m)} \circ \alpha_{\infty, n}]]$ and $[[\underline{\phi}_n]] = [[\underline{\phi} \circ \alpha_{\infty, n}]]$ for all $m, n \in \mathbb{N}$. By Corollary 2.9, the map

$$\alpha_{\infty, n+1}^* : [[A, B]] \rightarrow H(A_{n+1}, B)$$

factors through a discrete space. Therefore, for all $n \in \mathbb{N}$, there is an $m_n \in \mathbb{N}$ such that for all $m > m_n$, we have $[[\underline{\phi}_{n+1}^{(m)}]] = [[\underline{\phi}_{n+1}]]$. The semiprojectivity of α_n and Lemma 2.7 imply that $\underline{\phi}_n^{(m)}$ is homotopic to $\underline{\phi}_n$ for all $m > m_n$. Enlarging m_n if necessary, we may assume the sequence $(m_n)_{n=1}^\infty$ is strictly increasing.

For $m, n \in \mathbb{N}$ with $m > m_n$, we have $\underline{\phi}_n$ is homotopic to $\underline{\phi}_n^{(m)}$, and $\underline{\phi}_n^{(m)}$ is homotopic to $\underline{\phi}_{n+1}^{(m)} \circ \alpha_n$. Let $k_n^{(m)} : A_n \rightarrow C([0, 1], B)$ be a homotopy from $\underline{\phi}_n$ to $\underline{\phi}_{n+1}^{(m)} \circ \alpha_n$. For $n \in \mathbb{N}$, define $\Phi_n : A_n \rightarrow C(\mathbb{N}^\dagger, B)$ by

$$\Phi_n(a)(m) = \begin{cases} \underline{\phi}_n^{(m)}(a), & 1 \leq m \leq m_n \\ \underline{\phi}_n(a), & m_n < m \leq \infty \end{cases}$$

for all $a \in A$ and $m \in \mathbb{N}^\dagger$. Further, define $H_n : A_n \rightarrow C([0, 1], C(\mathbb{N}^\dagger, B))$ by

$$H_n(a)(s)(m) = \begin{cases} h_n^{(m)}(a)(s), & 1 \leq m \leq m_n \\ k_n^{(m)}(a)(s), & m_n < m \leq m_{n+1} \\ h_n(a)(s), & m_{n+1} < m \leq \infty \end{cases}$$

for all $a \in A, s \in [0, 1]$, and $m \in \mathbb{N}^\dagger$. Then, $(\underline{\Phi}, \underline{H}) : (\underline{A}, \underline{\alpha}) \rightarrow C(\mathbb{N}^\dagger, B)$ defines a strong homotopy morphism. If $y = \text{h-}\lim_{\rightarrow} (\underline{\Phi}, \underline{H})$, we have $y(m) = x_m$ for $m \in \mathbb{N}$ and $y(\infty) = x$. \square

2.3 | Continuity of composition

We will use the characterization of convergent sequences in Theorem 2.12 to strengthen the continuity result from Lemma 2.11 to the one in Theorem 2.15. First we record two preliminary results.

The following proposition is routine. For C^* -algebras A and D , we write $A \otimes D$ for the maximal tensor product of A and D .

Proposition 2.13. *If A, B , and D are C^* -algebras and $\phi : A \xrightarrow{\approx} B$ is an asymptotic morphism, then there is an asymptotic morphism $\text{id}_D \otimes \phi : D \otimes A \xrightarrow{\approx} D \otimes B$, unique up to equivalence, that is*

determined by

$$\lim_{t \rightarrow \infty} \|(\text{id}_D \otimes \phi)_t(d \otimes a) - d \otimes \phi_t(a)\| = 0 \tag{1}$$

for all $a \in A$ and $d \in D$. Moreover, the assignment $\phi \mapsto \text{id}_D \otimes \phi$ is natural in the sense that if D_1 and D_2 are C^* -algebras and $\theta : D_1 \rightarrow D_2$ is a $*$ -homomorphism, then $(\theta \otimes \text{id}_B) \circ (\text{id}_{D_1} \otimes \phi) \cong (\text{id}_{D_2} \otimes \phi) \circ (\theta \otimes \text{id}_A)$.

Proof. For uniqueness, note that if $\psi, \psi' : D \otimes A \xrightarrow{\approx} D \otimes B$ satisfy

$$\lim_{t \rightarrow 0} \|\psi(d \otimes a) - d \otimes \phi(a)\| = \lim_{t \rightarrow 0} \|\psi'(d \otimes a) - d \otimes \phi(a)\| = 0$$

for all $a \in A$ and $d \in D$, then $\|\psi_t(c) - \psi'_t(c)\| \rightarrow 0$ for all c in the algebraic tensor product of D and A . Using the asymptotic contractivity of ψ and ψ' , this also holds for all $c \in D \otimes A$, so $\psi \cong \psi'$.

For existence, define $\rho : D \otimes C_b(\mathbb{R}_+, B) \rightarrow C_b(\mathbb{R}_+, D \otimes B)$ by $\rho(d \otimes f)(t) = d \otimes f(t)$. Then, ρ restricts to an isomorphism from $D \otimes C_0(\mathbb{R}_+, B)$ to $C_0(\mathbb{R}_+, D \otimes B)$, so ρ induces a $*$ -homomorphism $\bar{\rho} : D \otimes B_{\text{as}} \rightarrow (D \otimes B)_{\text{as}}$. Let $\text{id}_D \otimes \phi$ be an asymptotic morphism lifting $\bar{\rho} \circ (\text{id}_D \otimes \phi_{\text{as}})$. The naturality follows from the naturality of tensor products and ρ . \square

Specializing to the case when D is commutative gives the following result. It is not clear to us whether the map $\bar{\phi}$ below is unique (up to equivalence), but only the existence of such a map $\bar{\phi}$ will be needed.

Corollary 2.14. *If A and B are C^* -algebras, X is a locally compact Hausdorff space, and $\phi : A \xrightarrow{\approx} B$ is an asymptotic morphism, then there is an asymptotic morphism $\bar{\phi} : C_0(X, A) \xrightarrow{\approx} C_0(X, B)$ such that $\text{ev}_x \circ \bar{\phi} \cong \phi \circ \text{ev}_x$ for all $x \in X$.*

Proof. Define isomorphisms

$$\psi_A : C_0(X) \otimes A \rightarrow C_0(X, A) \quad \text{and} \quad \psi_B : C_0(X) \otimes B \rightarrow C_0(X, B)$$

by $\psi_A(f \otimes a)(x) = f(x)a$ and $\psi_B(f \otimes b)(x) = f(x)b$ for $f \in C_0(X)$, $a \in A$, $b \in B$, and $x \in X$. Then, define

$$\bar{\phi} = \psi_B \circ (\text{id}_{C_0(X)} \otimes \phi) \circ \psi_A^{-1} : C_0(X, A) \xrightarrow{\approx} C_0(X, B).$$

The result follows from the naturality of the tensor product in Proposition 2.13 applied to $\theta = \text{ev}_x : C_0(X) \rightarrow \mathbb{C}$. \square

Finally, we prove the joint continuity of composition.

Theorem 2.15. *If A, B , and D are C^* -algebras with A and B separable, then the composition $[[A, B]] \times [[B, D]] \rightarrow [[A, D]]$ is jointly continuous.*

Proof. By Theorem 2.10, both $[[A, B]]$ and $[[B, D]]$ are first countable, and hence so is $[[A, B]] \times [[B, D]]$. So it suffices to show composition is sequentially continuous. Suppose $x_n \rightarrow x$ in $[[A, B]]$

and $y_n \rightarrow y$ in $[[B, D]]$. By Theorem 2.12, there are asymptotic morphisms $\phi : A \xrightarrow{\approx} C(\mathbb{N}^\dagger, B)$ and $\psi : B \xrightarrow{\approx} C(\mathbb{N}^\dagger, D)$ such that

$$[[\text{ev}_n \circ \phi]] = \begin{cases} x_n & n < \infty \\ x & n = \infty \end{cases} \quad \text{and} \quad [[\text{ev}_n \circ \psi]] = \begin{cases} y_n & n < \infty \\ y & n = \infty \end{cases}$$

for all $n \in \mathbb{N}^\dagger$.

After identifying $C(\mathbb{N}^\dagger, C(\mathbb{N}^\dagger, D))$ with $C(\mathbb{N}^\dagger \times \mathbb{N}^\dagger, D)$, Corollary 2.14 provides an asymptotic morphism $\bar{\psi} : C(\mathbb{N}^\dagger, B) \rightarrow C(\mathbb{N}^\dagger \times \mathbb{N}^\dagger, D)$ such that

$$\text{ev}_{m,n} \circ \bar{\psi} \cong \text{ev}_m \circ \psi \circ \text{ev}_n$$

for all $m, n \in \mathbb{N}^\dagger$. Let $z = [[\bar{\psi}]] \circ [[\phi]] \in [[A, C(\mathbb{N}^\dagger \times \mathbb{N}^\dagger, D)]]$. Then,

$$[[\text{ev}_{n,n}]] \circ z = \begin{cases} y_n \circ x_n & n < \infty \\ y \circ x & n = \infty \end{cases}$$

for $n \in \mathbb{N}^\dagger$. Since $\text{ev}_{n,n} \rightarrow \text{ev}_{\infty,\infty}$ in $\text{Hom}(C(\mathbb{N}^\dagger \times \mathbb{N}^\dagger, D), D)$, Lemma 2.11 implies $y_n \circ x_n \rightarrow y \circ x$. □

3 | HAUSDORFFIZED ASYMPTOTIC MORPHISMS

The topology on $[[A, B]]$ given in Definition 2.3 is often non-Hausdorff. In Section 3.1, we consider a quotient of $[[A, B]]$ that is Hausdorff and show that the composition descends to a continuous composition on the quotient. Further properties of the quotient are established in Section 3.2, and a compatibility result with inductive limits in the spirit of Theorem B is given in Section 3.3.

3.1 | The Hausdorffized asymptotic category

Every topological space X admits a universal T_0 quotient space known as the *Kolmogorov quotient*. While this is typically non-Hausdorff, we will show that the Kolmogorov quotient of the space $[[A, B]]$ of asymptotic morphisms is always a Hausdorff space (Theorem 3.7). Elements of this quotient (defined formally below) will be the morphisms of the Hausdorffized asymptotic category.

Definition 3.1. Let A and B be C^* -algebras with A separable. For $x, y \in [[A, B]]$, write $x \sim_{\text{Hd}} y$ in $[[A, B]]$ if the singletons $\{x\}$ and $\{y\}$ have the same closure. Then, \sim_{Hd} is an equivalence relation. We define the space of *Hausdorffized asymptotic morphisms*, written $[[A, B]]_{\text{Hd}}$, to be the quotient space $[[A, B]] / \sim_{\text{Hd}}$.

Note that the quotient map $[[A, B]] \rightarrow [[A, B]]_{\text{Hd}}$ induces a bijection on open sets, and in particular, the quotient map is open. As we now show, this observation implies that the composition of asymptotic morphisms descends to a composition on the quotient spaces.

Proposition 3.2. *If $A, B,$ and D are C^* -algebras such that A and B separable, then the composition $[[A, B]] \times [[B, D]] \rightarrow [[A, D]]$ induces a continuous map $[[A, B]]_{\text{Hd}} \times [[B, D]]_{\text{Hd}} \rightarrow [[A, D]]_{\text{Hd}}$, written $(x, y) \mapsto y \circ x$.*

Proof. Consider the quotient maps

$$q : [[A, B]] \rightarrow [[A, B]]_{\text{Hd}}, \quad q' : [[B, D]] \rightarrow [[B, D]]_{\text{Hd}}, \quad \text{and} \quad q'' : [[A, D]] \rightarrow [[A, D]]_{\text{Hd}}.$$

If $x, y \in [[A, B]]$ and $x', y' \in [[B, D]]$ with $q(x) = q(y)$ and $q'(x') = q'(y')$, then the constant sequences x and x' converge to y and y' , respectively. By the continuity of composition (Theorem 2.15), the constant sequence $x' \circ x$ converges to $y' \circ y$. Similarly, the constant sequence $y' \circ y$ converges to $x' \circ x$. These convergences imply $q''(x' \circ x) = q''(y' \circ y)$. Therefore, the composition on the Kolmogorov quotients is well defined. Since q and q' are open, so is $q \times q'$. Hence, $q \times q'$ is a quotient map, and the continuity of composition on the Kolmogorov quotients follows. \square

Definition 3.3. The Hausdorffized asymptotic category AM_{Hd} is the category with objects given by separable C^* -algebras, the morphisms from A to B given by the set $[[A, B]]_{\text{Hd}}$, and the composition given by Proposition 3.2.

In Section 4, we prove that the category AM_{Hd} is equivalent to the *shape category* considered in [8] (Theorem 4.3). As a consequence, isomorphism in the category AM_{Hd} (and in AM) coincides with shape equivalence of separable C^* -algebras.

The following lemma gives a strengthening of Lemma 2.7, weakening the hypothesis from agreement in $[[A, B]]$ to agreement in $[[A, B]]_{\text{Hd}}$. We record it here for use in Section 4.

Lemma 3.4. *Let $A_0, A,$ and B be C^* -algebras with A_0 and A separable and let $\alpha : A_0 \rightarrow A$ be a semiprojective $*$ -homomorphism. If $\phi, \psi : A \rightarrow B$ are $*$ -homomorphisms with $[[\phi]]_{\text{Hd}} = [[\psi]]_{\text{Hd}}$, then $\phi \circ \alpha$ and $\psi \circ \alpha$ are homotopic.*

Proof. By Lemma 1.2, there are separable C^* -algebras A_1 and A_2 and semiprojective $*$ -homomorphisms $\alpha_0 : A_0 \rightarrow A_1, \alpha_1 : A_1 \rightarrow A_2$ and $\alpha_2 : A_2 \rightarrow A$ such that $\alpha = \alpha_2 \circ \alpha_1 \circ \alpha_0$. Let $\mathcal{G} \subseteq A_2$ and $\delta > 0$ be given by applying Corollary 1.8 to α_1 .

From $[[\phi]]_{\text{Hd}} = [[\psi]]_{\text{Hd}}$ and Theorem 2.12, there is an asymptotic morphism $\eta : A \xrightarrow{\approx} C(\mathbb{N}^\dagger, B)$ with $[[\text{ev}_\infty \circ \eta]] = [[\psi]]$ and $[[\text{ev}_n \circ \eta]] = [[\phi]]$ for all $n \in \mathbb{N}$. By Lemma 2.2, there exists a $*$ -homomorphism $\tilde{\eta} : A_2 \rightarrow C(\mathbb{N}^\dagger, B)$ with $[[\tilde{\eta}]] = [[\eta \circ \alpha_2]]$. Therefore, $[[\text{ev}_\infty \circ \tilde{\eta}]] = [[\psi \circ \alpha_2]]$ and $[[\text{ev}_n \circ \tilde{\eta}]] = [[\phi \circ \alpha_2]]$ for all $n \in \mathbb{N}$. Choose $N \in \mathbb{N}$ so large that $\|(\text{ev}_N \circ \tilde{\eta})(a) - (\text{ev}_\infty \circ \tilde{\eta})(a)\| < \delta$ for all $a \in \mathcal{G}$. The choice of \mathcal{G} and δ implies that $\text{ev}_N \circ \tilde{\eta} \circ \alpha_1$ is homotopic to $\text{ev}_\infty \circ \tilde{\eta} \circ \alpha_1$. Therefore, $[[\phi \circ \alpha_2 \circ \alpha_1]] = [[\psi \circ \alpha_2 \circ \alpha_1]]$. Finally, Lemma 2.7 implies that $\phi \circ \alpha_2 \circ \alpha_1 \circ \alpha_0$ is homotopic to $\psi \circ \alpha_2 \circ \alpha_1 \circ \alpha_0$. \square

3.2 | Basic properties of $[[A, B]]_{\text{Hd}}$

Shape systems and strong homotopy morphisms have proved useful in the study of asymptotic morphisms. They will be equally powerful in the study of the Hausdorffized asymptotic category. The following gives a formal statement of the claim made in the remarks following Theorem C

that Dadarlat’s homotopy limit functor is independent of the choice of homotopies up to Hausdorffization.

Proposition 3.5. *Let $(\underline{A}, \underline{\alpha})$ and $(\underline{B}, \underline{\beta})$ be inductive systems of C^* -algebras with limits A and B and assume each A_n is separable. Let*

$$(f, \underline{\phi}, \underline{h}^\phi), (g, \underline{\psi}, \underline{h}^\psi) : (\underline{A}, \underline{\alpha}) \rightarrow (\underline{B}, \underline{\beta})$$

be strong homotopy morphisms with homotopy limits $\phi, \psi : A \xrightarrow{\approx} B$. If $\beta_{\infty, f(n)} \circ \phi_n$ and $\beta_{\infty, g(n)} \circ \psi_n$ are homotopic for all $n \in \mathbb{N}$, then $[[\phi]]_{\text{Hd}} = [[\psi]]_{\text{Hd}}$.

Proof. After replacing the $*$ -homomorphisms ϕ_n and ψ_n with $\beta_{\infty, f(n)} \circ \phi_n$ and $\beta_{\infty, g(n)} \circ \psi_n$ and the homotopies h_n^ϕ and h_n^ψ with $(\text{id}_{C([0,1])} \otimes \beta_{\infty, f(n+1)}) \circ h_n^\phi$ and $(\text{id}_{C([0,1])} \otimes \beta_{\infty, g(n+1)}) \circ h_n^\psi$, we may assume that $B_n = B$ and $\beta_n = \text{id}_B$ for all $n \in \mathbb{N}$ and $f = g = \text{id}_{\mathbb{N}}$. Hence, after changing notation, we have strong homotopy morphisms $(\underline{\phi}, \underline{h}^\phi), (\underline{\psi}, \underline{h}^\psi) : (\underline{A}, \underline{\alpha}) \rightarrow B$ with homotopy limits

$\phi, \psi : A \xrightarrow{\approx} B$ such that ϕ_n and ψ_n are homotopic for all $n \in \mathbb{N}$.

For $n \in \mathbb{N}$, we have ϕ_n is homotopic to both ψ_n and $\phi_{n+1} \circ \alpha_n$. Consider a homotopy $k_n : A_n \rightarrow C([0, 1], B)$ from ψ_n to $\phi_{n+1} \circ \alpha_n$. Define a strong homotopy morphism $(\underline{\theta}, \underline{l}) : (\underline{A}, \underline{\alpha}) \rightarrow C(\mathbb{N}^\dagger, B)$ by

$$\theta_n(a)(m) = \begin{cases} \phi_n(a) & m < n \\ \psi_n(a) & m \geq n \end{cases}$$

and

$$l_n(a)(m)(s) = \begin{cases} h_n^\phi(a)(s) & m < n \\ k_n(a)(s) & m = n \\ h_n^\psi(a)(s) & m > n \end{cases}$$

for all $n \in \mathbb{N}$, $m \in \mathbb{N}^\dagger$, $a \in A$, and $s \in [0, 1]$. Let $\theta = \text{h-}\varinjlim (\underline{\theta}, \underline{l}) : A \xrightarrow{\approx} B$ and note that $[[\text{ev}_m \circ \theta]] = [[\phi]]$ for $m \in \mathbb{N}$ and $[[\text{ev}_\infty \circ \theta]] = [[\psi]]$. By Theorem 2.12, it follows that $[[\psi]]$ belongs to the closure of $\{[[\phi]]\}$. By symmetry, $[[\phi]]$ belongs to the closure of $\{[[\psi]]\}$, and hence $[[\phi]]_{\text{Hd}} = [[\psi]]_{\text{Hd}}$. □

The following result will be used to produce a projective limit decomposition of the space $[[A, B]]_{\text{Hd}}$ in the proof of Theorem 3.7, which in particular, will be used to prove $[[A, B]]_{\text{Hd}}$ is Hausdorff.

Lemma 3.6. *If A_0, A , and B are C^* -algebras with A_0 and A separable, $\alpha : A_0 \rightarrow A$ is a semiprojective $*$ -homomorphism, and $x, y \in [[A, C(\mathbb{N}^\dagger, B)]]$ with $x(m) = y(m)$ for all $m \in \mathbb{N}^\dagger$, then $x \circ [[\alpha]] = y \circ [[\alpha]]$.*

Proof. By two applications of Lemma 1.2, we may factor α as a composition

$$A_0 \xrightarrow{\alpha_0} A_1 \xrightarrow{\alpha_1} A_2 \xrightarrow{\alpha_2} A$$

where A_1 and A_2 are separable C^* -algebras and α_0, α_1 , and α_2 are semiprojective $*$ -homomorphisms. Lemma 2.2, provides $*$ -homomorphisms $\theta, \rho : A_2 \rightarrow C(\mathbb{N}^\dagger, B)$ such that $[[\theta]] = x \circ [[\alpha_2]]$ and $[[\rho]] = y \circ [[\alpha_2]]$. In particular, $[[ev_m \circ \theta]] = [[ev_m \circ \rho]]$ for all $m \in \mathbb{N}^\dagger$. Lemma 2.7 now implies that for all $m \in \mathbb{N}^\dagger$, $ev_m \circ \theta \circ \alpha_1$ is homotopic to $ev_m \circ \rho \circ \alpha_1$; let $h_m : A_1 \rightarrow C([0, 1], B)$ be such a homotopy.

By Corollary 1.8, there are a finite set $\mathcal{G} \subseteq A_1$ and $\delta > 0$ such that if D is a C^* -algebras and $\phi, \psi : A_1 \rightarrow D$ are $*$ -homomorphisms with $\|\phi(a) - \psi(a)\| < \delta$ for all $a \in \mathcal{G}$, then $\phi \circ \alpha_0$ and $\psi \circ \alpha_0$ are homotopic. Fix $m_0 \in \mathbb{N}$ such that

$$\|\theta(\alpha_1(a))(m) - h_\infty(a)(0)\| < \delta \quad \text{and} \quad \|\rho(\alpha_1(a))(m) - h_\infty(a)(1)\| < \delta$$

for all $a \in \mathcal{G}$ and $m \geq m_0$. Define $D = C(\mathbb{N}_{\geq m_0}^\dagger, B)$ and $\phi, \psi : A_1 \rightarrow D$ by $\phi(a)(m) = \theta(\alpha_1(a))(m)$ and $\psi(a)(m) = \rho(\alpha_1(a))(m)$ for all $a \in A_1$ and $m \in \mathbb{N}^\dagger$ with $m \geq m_0$. By the choice of \mathcal{G} and δ , $\phi \circ \alpha_0$ and $\psi \circ \alpha_0$ are homotopic; let $k : A \rightarrow C([0, 1], D)$ be such a homotopy.

Finally, define a homotopy $h : A_0 \rightarrow C([0, 1], C(\mathbb{N}^\dagger, B))$ by

$$h(a)(s)(m) = \begin{cases} h_m(\alpha_0(a))(s) & m < m_0 \\ k(a)(s)(m) & m \geq m_0 \end{cases}$$

for all $a \in A_0, s \in [0, 1]$, and $m \in \mathbb{N}^\dagger$. Then, h defines a homotopy from $\theta \circ \alpha_1 \circ \alpha_0$ to $\rho \circ \alpha_1 \circ \alpha_0$. Therefore,

$$x \circ [[\alpha]] = [[\theta \circ \alpha_1 \circ \alpha_0]] = [[\rho \circ \alpha_1 \circ \alpha_0]] = y \circ [[\alpha]],$$

as required. □

The following result establishes the main properties of the topology on the space of (Hausdorffized) asymptotic morphisms.

Theorem 3.7. *If A and B are C^* -algebras with A separable, then $[[A, B]]_{\text{Hd}}$ is a projective limit of discrete topological spaces. These may be taken to be countable if B is separable. In particular, $[[A, B]]_{\text{Hd}}$ is totally disconnected and completely metrizable, and it is separable if B is separable. Moreover, if $(\underline{A}, \underline{\alpha})$ is a shape system for A , then the maps $\alpha_{\infty, n}^* : [[A, B]] \rightarrow H(A_n, B)$ of Lemma 2.2 induce a homeomorphism*

$$[[A, B]]_{\text{Hd}} \xrightarrow{\cong} \varprojlim (H(A_n, B), \alpha_n^*).$$

Proof. Fix a shape system $(\underline{A}, \underline{\alpha})$ for A . For $n \in \mathbb{N}$, Proposition 2.8 gives a discrete space X_n (which we may take to be countable if B is separable) and continuous maps $g_{n+1} : H(A_{n+1}, B) \rightarrow X_n$ and $f_n : X_n \rightarrow H(A_n, B)$ such that for all $*$ -homomorphisms $\phi : A_{n+1} \rightarrow B$, $f_n(g_{n+1}([[\phi]])) = [[\phi \circ \alpha_n]]$. Then, there is a commuting diagram

$$\begin{array}{ccccccc} \dots & \xrightarrow{\alpha_3^*} & H(A_3, B) & \xrightarrow{\alpha_2^*} & H(A_2, B) & \xrightarrow{\alpha_1^*} & H(A_1, B) \\ & \searrow g_4 & \nearrow f_3 & \searrow g_3 & \nearrow f_2 & \searrow g_2 & \nearrow f_1 \\ \dots & \rightarrow & X_3 & \xrightarrow{g_3 \circ f_3} & X_2 & \xrightarrow{g_2 \circ f_2} & X_1 \end{array}$$

in the category of topological spaces. It follows that

$$\varprojlim (H(A_n, B), \alpha_n^*) \cong \varprojlim (X_n, g_n \circ f_n).$$

Therefore, we only need to prove the final part of the theorem.

The maps $\alpha_{\infty, n}^* : [[A, B]] \rightarrow H(A_n, B)$ induce a continuous map

$$\alpha^* : [[A, B]] \rightarrow \varprojlim (H(A_n, B), \alpha_n^*),$$

and, since the inverse limit is Hausdorff (and, in particular, T_0), α^* factors through a continuous map

$$\bar{\alpha}^* : [[A, B]]_{\text{Hd}} \rightarrow \varprojlim (H(A_n, B), \alpha_n^*).$$

We will show that $\bar{\alpha}^*$ is a homeomorphism.

First, we prove that $\bar{\alpha}^*$ is injective. Suppose $\phi, \psi : A \xrightarrow{\approx} B$ are asymptotic morphisms with $\alpha^*([\phi]) = \alpha^*([\psi])$. By Theorem 1.6, there are strong homotopy morphisms $(\underline{\phi}, \underline{h}), (\underline{\psi}, \underline{k}) : (\underline{A}, \underline{\alpha}) \rightarrow B$ with homotopy limits ϕ and ψ , respectively. For each $n \in \mathbb{N}$,

$$[[\phi_{n+1}]] = [[\phi \circ \alpha_{\infty, n+1}]] = [[\psi \circ \alpha_{\infty, n+1}]] = [[\psi_{n+1}]],$$

where the middle inequality follows from $\alpha^*([\phi]) = \alpha^*([\psi])$ and the outer two equalities follow from Proposition 1.5. By the definition of a strong homotopy morphism of inductive systems, $\phi_{n+1} \circ \alpha_n$ and $\psi_{n+1} \circ \alpha_n$ are homotopic to ϕ_n and ψ_n , respectively. Also, by Lemma 2.7, $\phi_{n+1} \circ \alpha_n$ and $\psi_{n+1} \circ \alpha_n$ are homotopic. Concatenating these homotopies shows that ϕ_n and ψ_n are homotopic. Then, Proposition 3.5 implies $[[\phi]]_{\text{Hd}} = [[\psi]]_{\text{Hd}}$, so $\bar{\alpha}^*$ is injective.

Second, we prove surjectivity. Let $(\phi_n : A_n \rightarrow B)_{n=1}^\infty$ be a sequence of $*$ -homomorphisms such that $[[\phi_n]] = [[\phi_{n+1} \circ \alpha_n]]$ for all $n \in \mathbb{N}$. For $n \geq N$, Lemma 2.7 implies $\phi_{n+1} \circ \alpha_n$ is homotopic to $\phi_{n+2} \circ \alpha_{n+2, n}$. If $h_n : A_n \rightarrow C([0, 1], B)$ denotes such a homotopy, then the sequences $(\phi_{n+1} \circ \alpha_n)_{n=1}^\infty$ and $(h_n)_{n=1}^\infty$ form a strong homotopy morphism $(\underline{A}, \underline{\alpha}) \rightarrow B$. If $\phi : A \xrightarrow{\approx} B$ denotes the homotopy limit of these sequences, then Proposition 1.5 implies $[[\phi \circ \alpha_{\infty, n}]] = [[\phi_{n+1} \circ \alpha_n]] = [[\phi_n]]$ for all $n \in \mathbb{N}$. So α^* is surjective, and hence so is $\bar{\alpha}^*$.

It remains to prove continuity of the inverse of $\bar{\alpha}^*$. To this end, consider $x \in [[A, B]]$ and a sequence $(x_m)_{m=1}^\infty \subseteq [[A, B]]$ such that $\alpha_{\infty, n}^*(x_m) \rightarrow \alpha_{\infty, n}^*(x)$ in $H(A_n, B)$ for all $n \in \mathbb{N}$. By Proposition 2.4, $\alpha_{\infty, n}^*(x_m) \rightarrow \alpha_{\infty, n}^*(x)$ in $[[A_n, B]]$ for all $n \in \mathbb{N}$. Then, by Theorem 2.12, there is a $y'_{n+1} \in [[A_{n+1}, C(\mathbb{N}^\dagger, B)]]$ such that $y'_{n+1}(m) = \alpha_{\infty, n+1}^*(x_m)$ for $m \in \mathbb{N}$ and $y'_{n+1}(\infty) = \alpha_{\infty, n+1}^*(x)$. Define $y_n = y'_{n+1} \circ [[\alpha_n]]$ for $n \in \mathbb{N}$. Note that $y'_{n+1}(m) = y'_{n+2}(m) \circ [[\alpha_{n+1}]]$ for all $m \in \mathbb{N}$, so Lemma 3.6 implies $y_n = y_{n+1} \circ [[\alpha_n]]$. Also, Lemma 2.2 implies $y_n \in H(A_n, C(\mathbb{N}^\dagger, B)) \subseteq [[A_n, C(\mathbb{N}^\dagger, B)]]$ for all $n \in \mathbb{N}$. The surjectivity of α^* , applied with $C(\mathbb{N}^\dagger, B)$ in place of B , implies there is a $y \in [[A, C(\mathbb{N}^\dagger, B)]]$ such that $\alpha_{\infty, n}^*(y) = y_n$ for all $n \in \mathbb{N}$. For $m \in \mathbb{N}^\dagger$ and $n \in \mathbb{N}$, we have $\alpha_{\infty, n}^*(y(m)) = y_n(m)$. So the injectivity of $\bar{\alpha}^*$ implies $y(m) \sim_{\text{Hd}} x_m$ for all $m \in \mathbb{N}$ and $y(\infty) \sim_{\text{Hd}} x$. Therefore, $x_n \rightarrow x$ in $[[A, B]]_{\text{Hd}}$. Hence, $\bar{\alpha}^*$ is a homeomorphism. \square

3.3 | Compatibility with direct limits

The remainder of the section is devoted to proving the continuity of the functor $[[\cdot, B]]_{\text{Hd}}$ on separable C^* -algebras (Theorem 3.10). When restricting to shape systems, this continuity result

follows easily from the projective limit decomposition in Theorem 3.7 and the factorization result in Lemma 2.2. The general case will reduce to this case by approximating a given inductive system by a shape system with the same limit, the precise statement needed is given in Lemma 3.9. We start with the following approximation lemma, which is a typical application of semiprojectivity.

Lemma 3.8. *Suppose (A, α) is a shape system with limit A and (B, β) is an inductive system with limit B such that each β_n is surjective. For $n \in \mathbb{N}$, let $\mathcal{F}_n \subseteq A_n$ be a finite set and let $\epsilon_n > 0$. If $\phi : A \rightarrow B$ is a $*$ -homomorphism, then there are a strictly increasing function $f : \mathbb{N} \rightarrow \mathbb{N}$ and $*$ -homomorphisms $\phi_n : A_n \rightarrow B_{f(n)}$ such that the diagram*

$$\begin{array}{ccccccc}
 A_1 & \xrightarrow{\alpha_1} & A_2 & \xrightarrow{\alpha_2} & A_3 & \xrightarrow{\alpha_3} & \cdots & A \\
 \phi_1 \downarrow & & \phi_2 \downarrow & & \phi_3 \downarrow & & & \downarrow \phi \\
 B_{f(1)} & \xrightarrow{\beta_{f(2),f(1)}} & B_{f(2)} & \xrightarrow{\beta_{f(3),f(2)}} & B_{f(3)} & \xrightarrow{\beta_{f(4),f(3)}} & \cdots & B
 \end{array}$$

commutes up to homotopy,

$$\max_{a \in \mathcal{F}_n} \|\beta_{f(n+1),f(n)}(\phi_n(a)) - \phi_{n+1}(\alpha_n(a))\| < \epsilon_n,$$

and $\phi \circ \alpha_{\infty,n} = \beta_{\infty,f(n)} \circ \phi_n$ for all $n \in \mathbb{N}$.

Proof. For $n \in \mathbb{N}$, Corollary 1.8 and the semiprojectivity of α_n imply there are a finite set $\mathcal{G}_{n+1} \subseteq A_{n+1}$ and $\delta_{n+1} > 0$ such that for all C^* -algebras D and $*$ -homomorphisms $\theta, \rho : A_{n+1} \rightarrow D$, if $\|\theta(a) - \rho(a)\| < \delta_{n+1}$ for all $a \in \mathcal{G}_{n+1}$, then $\theta \circ \alpha_n$ is homotopic to $\rho \circ \alpha_n$. By enlarging the sets \mathcal{G}_n and decreasing δ_n , we may assume $\alpha_n(\mathcal{F}_n) \subseteq \mathcal{G}_{n+1}$ and $\delta_{n+1} < \epsilon_n$ for all $n \in \mathbb{N}$.

It suffices to construct a strictly increasing function $f : \mathbb{N} \rightarrow \mathbb{N}$ and $*$ -homomorphisms $\psi_{n+1} : A_{n+1} \rightarrow B_{f(n)}$ such that

$$\max_{a \in \mathcal{G}_{n+1}} \|\beta_{f(n+1),f(n)}(\psi_{n+1}(a)) - \psi_{n+2}(\alpha_{n+1}(a))\| < \delta_{n+1}$$

and $\phi \circ \alpha_{\infty,n+1} = \beta_{\infty,f(n)} \circ \psi_{n+1}$. Indeed, the $*$ -homomorphisms $\phi_n = \psi_{n+1} \circ \alpha_n$ will satisfy the conditions of the lemma.

Since $\alpha_{\infty,2} : A_2 \rightarrow B$ is semiprojective, there are $f(1) \in \mathbb{N}$ and a $*$ -homomorphism $\psi_2 : A_2 \rightarrow B_{f(1)}$ such that $\beta_{\infty,f(1)} \circ \psi_2 = \phi \circ \alpha_{\infty,2}$. Assume $f(1), \dots, f(n)$ and $\psi_2, \dots, \psi_{n+1}$ have been constructed. Because $\alpha_{\infty,n+2}$ is semiprojective, there are $f'(n+1) \in \mathbb{N}$ and a $*$ -homomorphism $\psi'_{n+2} : A_{n+2} \rightarrow B_{f'(n+1)}$ so that

$$\beta_{\infty,f'(n+1)} \circ \psi'_{n+2} = \phi \circ \alpha_{\infty,n+2}.$$

Then, $\beta_{\infty,f(n)} \circ \psi_{n+1} = \beta_{\infty,f'(n+1)} \circ \psi'_{n+2} \circ \alpha_{n+1}$, and hence

$$\lim_{m \rightarrow \infty} \|\beta_{m,f(n)}(\psi_{n+1}(a)) - \beta_{m,f'(n+1)}(\psi'_{n+2}(\alpha_{n+1}(a)))\| = 0.$$

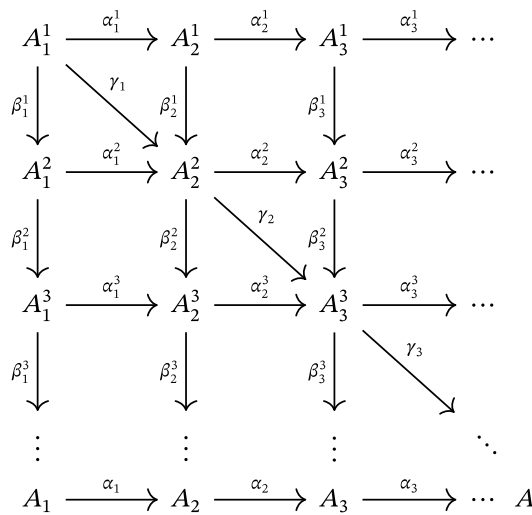
Therefore, we may find $f(n + 1) \in \mathbb{N}$ with $f(n + 1) > \max\{f(n), f'(n + 1)\}$ such that

$$\max_{a \in \mathcal{G}_{n+1}} \|\beta_{f(n+1), f(n)}(\psi_{n+1}(a)) - \beta_{f(n+1), f'(n+1)}(\psi'_{n+2}(\alpha_n(a)))\| < \delta_{n+1}.$$

Define $\psi_{n+2} = \beta_{f(n+1), f'(n+1)} \circ \psi'_{n+2}$. □

Applying the previous lemma inductively (and taking care with the estimates) yields the following approximation result. This allows us to replace a given inductive system with a shape system with the same limit.

Lemma 3.9. *If (A, α) is an inductive system of separable C^* -algebras with limit A , then there is a diagram*



of C^* -algebras and $*$ -homomorphisms that commutes up to homotopy and satisfies the following conditions:

- (i) for all $n \in \mathbb{N}$, the n th column $((A_n^m, \beta_n^m))_{m=1}^\infty$ is a shape system for A_n ;
- (ii) the diagonal $((A_n^n, \gamma_n))_{n=1}^\infty$ is a shape system for A ;
- (iii) for all $n, m \in \mathbb{N}$, $\alpha_n \circ \beta_n^{\infty, m} = \beta_{n+1}^{\infty, m} \circ \alpha_n^m$;
- (iv) for all $n \in \mathbb{N}$, $\gamma_{\infty, n} = \alpha_{\infty, n} \circ \beta_n^{\infty, n}$.

Proof. The C^* -algebras A_n^m and the $*$ -homomorphisms α_n^m and β_n^m will be constructed by induction on n and we will define $\gamma_n = \beta_{n+1}^n \circ \alpha_n^n$. In order to account for the diagonal inductive limit, we will also arrange for each β_n^m to be surjective and for each square to approximately commute. In more detail, we arrange for finite sets $\mathcal{F}_n^m \subseteq A_n^m$ and finite sets $\mathcal{G}_{n,j} \subseteq A_n^n$ for $j, m, n \in \mathbb{N}$ such that

- (v) $\alpha_n^m(\mathcal{F}_n^m) \subseteq \mathcal{F}_{n+1}^m$ and $\beta_n^m(\mathcal{F}_n^m) \subseteq \mathcal{F}_{n+1}^{m+1}$ for all $m, n \in \mathbb{N}$;
- (vi) $\mathcal{G}_{n,j} \subseteq \mathcal{G}_{n,j+1}$ and $\gamma_n(\mathcal{G}_{n,j}) \subseteq \mathcal{G}_{n+1,j}$ for all $j, n \in \mathbb{N}$;
- (vii) $\bigcup_{j=1}^\infty \mathcal{G}_{n,j}$ is dense in A_n^n for all $n \in \mathbb{N}$;
- (viii) $\mathcal{G}_{n,n} \subseteq \mathcal{F}_n^n$;

(ix) $\max_{a \in \mathcal{F}_n^m} \|\alpha_n^{m+1}(\beta_n^m(a)) - \beta_{n+1}^m(\alpha_n^m(a))\| < 2^{-(n+m)}$ for all $m, n \in \mathbb{N}$.

Let $((A_1^m, \beta_1^m))_{m=1}^\infty$ be a shape system for A_1 such that each β_1^m is surjective (see [1, Theorem 4.3]). Choose any increasing sequence of finite subsets $(\mathcal{G}_{1,j})_{j=1}^\infty$ of A_1^1 with dense union, and, for $m \in \mathbb{N}$, define $\mathcal{F}_1^m = \beta_1^{m,1}(\mathcal{G}_{1,1})$. Assume $n \in \mathbb{N}$ and the first n columns have been constructed. Let $((A_{n+1}^m, \beta_{n+1}^m))_{m=1}^\infty$ be a shape system for A_{n+1} . After passing to a subsequence of $((A_{n+1}^m, \beta_{n+1}^m))_{m=1}^\infty$, Lemma 3.8 provides the maps α_n^m satisfying the required homotopy-commuting property, (iii), and (ix). Define $\gamma_n = \beta_{n+1}^m \circ \alpha_n^m$. Choose finite sets $\mathcal{G}_{n+1,j} \subseteq A_{n+1}^{n+1}$ satisfying (vi) and (vii). Finally, choose finite sets $\mathcal{F}_{n+1}^m \subseteq A_{n+1}^m$ satisfying (v) and (viii).

We have now constructed the homotopy commuting diagram in the statement of the lemma satisfying conditions (i) and (iii), and also with the properties that each β_n^m is surjective, $\gamma_n = \beta_{n+1}^n \circ \alpha_n^n$, and conditions (v)–(ix) hold. For $n \in \mathbb{N}$, note that γ_n is semiprojective since β_{n+1}^n is, and hence $((A_n^n, \gamma_n))_{n=1}^\infty$ forms a shape system. The definition of γ_n and (iii) implies there is a commuting diagram

$$\begin{array}{ccccccc} A_1^1 & \xrightarrow{\gamma_1} & A_2^2 & \xrightarrow{\gamma_2} & A_3^3 & \xrightarrow{\gamma_3} & \dots \\ \beta_1^{\infty,1} \downarrow & & \beta_2^{\infty,2} \downarrow & & \beta_3^{\infty,3} \downarrow & & \\ A_1 & \xrightarrow{\alpha_1} & A_2 & \xrightarrow{\alpha_2} & A_3 & \xrightarrow{\alpha_3} & \dots \end{array}$$

that induces a $*$ -homomorphism $\beta : \varinjlim (A_n^n, \gamma_n) \rightarrow A$ with $\beta \circ \gamma_{\infty,n} = \alpha_{\infty,n} \circ \beta_n^{\infty,n}$. It is enough to prove β is an isomorphism: Indeed, if so, then after using β to identify $\varinjlim (A_n^n, \gamma_n)$ with A , both (ii) and (iv) hold.

Because β_n^m is surjective for all $m, n \in \mathbb{N}$, we have $\beta_n^{\infty,n}$ is surjective for all $n \in \mathbb{N}$. Therefore, β is surjective. To prove injectivity, it suffices to show that for all $n \in \mathbb{N}$, $a \in A_n^n$ with $\beta(\gamma_{\infty,n}(a)) = 0$, and $\epsilon > 0$, there is an $m \in \mathbb{N}$ such that $\|\gamma_{m,n}(a)\| < 4\epsilon$. For any such n, a , and ϵ , we have $\alpha_{\infty,n}(\beta_n^{\infty,n}(a)) = 0$, and hence there is $j \in \mathbb{N}$ with $j > n$ such that $\|\alpha_{j,n}(\beta_n^{\infty,n}(a))\| < \epsilon$. After increasing j , we may assume $4^{1-j} < \epsilon$. Further, by (vi) and (vii) (increasing j if necessary), we may assume there is a $b \in \mathcal{G}_{n,j}$ with $\|a - b\| < \epsilon$. Conditions (vi) and (viii) yield $\gamma_{j,n}(b) \in \mathcal{F}_j^j$. Then, the definition of γ_n together with (v) and (ix) imply that for each $m \in \mathbb{N}$ with $m > j$,

$$\|\alpha_{m,j}^m(\beta_j^{m,j}(\gamma_{j,n}(b))) - \gamma_{m,n}(b)\| < 4^{1-j} < \epsilon. \tag{2}$$

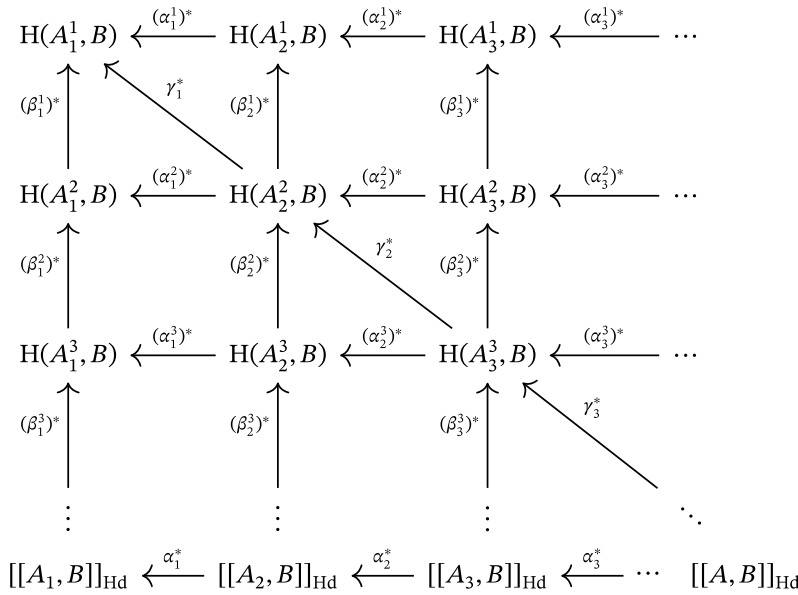
Since $\|\alpha_{j,n}(\beta_n^{\infty,n}(a))\| < \epsilon$ and $\|a - b\| < \epsilon$, the definition of $\gamma_{n,j}$ and (iii) imply $\|\beta_j^{\infty,j}(\gamma_{j,n}(b))\| < 2\epsilon$. Hence, there is $m \in \mathbb{N}$ with $\|\beta_j^{m,j}(\gamma_{j,n}(b))\| < 3\epsilon$. Then, (2) yields $\|\gamma_{m,n}(b)\| < 3\epsilon$, and hence $\|\gamma_{m,n}(a)\| < 4\epsilon$, as required. This shows β is injective and completes the proof. \square

With the lemmas above, we now prove continuity of $[[\cdot, B]]_{\text{Hd}}$. Note that this fails for $[[\cdot, B]]$ in general. For example, $[[S(\cdot) \otimes \mathcal{K}, SB \otimes \mathcal{K}]] = E(\cdot, B)$, and Milnor’s \lim^1 -sequence in E -theory provides an obstruction to preserving limits.

Theorem 3.10. *If (A, α) is an inductive system of separable C^* -algebras with limit A and B is a C^* -algebra, then the $*$ -homomorphisms $\alpha_{\infty,n} : A_n \rightarrow A$ induce a homeomorphism*

$$[[A, B]]_{\text{Hd}} \xrightarrow{\cong} \varprojlim ([[A_n, B]]_{\text{Hd}}, \alpha_n^*).$$

Proof. Let $X = \varprojlim ([[A_n, B]]_{\text{Hd}}, \alpha_n^*)$ and let $f_{n,\infty} : X \rightarrow [[A_n, B]]_{\text{Hd}}$ be the canonical maps. The maps $\alpha_{\infty,n}^*$ induce a continuous map $f : [[A, B]]_{\text{Hd}} \rightarrow X$ with $f_{n,\infty} \circ f = \alpha_{\infty,n}^*$. We will construct a continuous inverse of f . We adopt the notation from Lemma 3.9. The diagram in Lemma 3.9 induces a commuting diagram



of topological spaces and continuous maps. By Theorem 3.7, the maps $\gamma_{\infty,n}^*$ induce a homeomorphism

$$[[A, B]]_{\text{Hd}} \xrightarrow{\cong} \varprojlim (H(A_n^n, B), \gamma_n^*).$$

Therefore, the maps $(\beta_n^{\infty,n})^* : [[A_n, B]]_{\text{Hd}} \rightarrow H(A_n^n, B)$ induce a continuous map $g : X \rightarrow [[A, B]]_{\text{Hd}}$ such that $\gamma_{\infty,n}^* \circ g = (\beta_n^{\infty,n})^* \circ f_{\infty,n}$ for all $n \in \mathbb{N}$.

For $n \in \mathbb{N}$, we have

$$\gamma_{\infty,n}^* \circ g \circ f = (\beta_n^{\infty,n})^* \circ f_{\infty,n} \circ f = (\beta_n^{\infty,n})^* \circ \alpha_{\infty,n}^* = \gamma_{\infty,n}^*,$$

and hence $g \circ f = \text{id}_{[[A,B]]_{\text{Hd}}}$. In the other direction, to show $f \circ g = \text{id}_X$, it suffices to show $f_{n,\infty} \circ f \circ g = f_{n,\infty}$ for all $n \in \mathbb{N}$. To this end, fix $n \in \mathbb{N}$. By Theorem 3.7, the maps $\beta_n^{\infty,m}$, $m \in \mathbb{N}$, induce a homeomorphism

$$[[A_n, B]]_{\text{Hd}} \xrightarrow{\cong} \varprojlim (H(A_n^m, B), (\beta_n^m)^*),$$

so it suffices to show $(\beta_n^{\infty,m})^* \circ f_{n,\infty} \circ f \circ g = (\beta_n^{\infty,m})^* \circ f_{n,\infty}$ for all $m \in \mathbb{N}$ with $m > n$. For such m , we compute

$$\begin{aligned}
 (\beta_n^{\infty,m})^* \circ f_{n,\infty} \circ f \circ g &= (\beta_n^{\infty,m})^* \circ \alpha_{\infty,n}^* \circ g \\
 &= (\alpha_{m,n}^m)^* \circ (\beta_m^{\infty,m})^* \circ \alpha_{\infty,m}^* \circ g
 \end{aligned}$$

$$\begin{aligned}
 &= (\alpha_{m,n}^m)^* \circ \gamma_{\infty,m}^* \circ g \\
 &= (\alpha_{m,n}^m)^* \circ (\beta_m^{\infty,m})^* \circ f_{\infty,m} \\
 &= (\beta_n^{\infty,m})^* \circ \alpha_{m,n}^* \circ f_{\infty,m} \\
 &= (\beta_n^{\infty,m})^* \circ f_{\infty,n},
 \end{aligned}$$

as required. So $f \circ g = \text{id}_X$, and f is a homeomorphism. □

4 | APPLICATIONS

Recall from Definition 1.4 that every strong homotopy morphism $(f, \underline{\phi}, \underline{h})$ between inductive systems of C^* -algebras $(\underline{A}, \underline{\alpha})$ and $(\underline{B}, \underline{\beta})$ induces an asymptotic morphism

$$\text{h-}\varinjlim (f, \underline{\phi}, \underline{h}) : \varinjlim (\underline{A}, \underline{\alpha}) \xrightarrow{\sim} \varinjlim (\underline{B}, \underline{\beta}).$$

Dadarlat showed in [8] that this construction produces a functor $\text{ho}(\text{ind-}C^*) \rightarrow \text{AM}$ on a suitable category $\text{ho}(\text{ind-}C^*)$ (the objects of which are inductive systems of separable C^* -algebras), and restricts to an equivalence on the *strong shape category*, defined as the full subcategory s-sh of $\text{ho}(\text{ind-}C^*)$ whose objects of which are shape systems (Theorem 4.1). We will combine this result with our work in the previous sections to show that the Hausdorffized asymptotic category AM_{Hd} (see Definition 3.3) is equivalent to the shape category sh (Theorem 4.3). This will prove Theorem C.

In Section 4.2, we apply these results to obtain a topology on E -theory. In particular, we prove Theorems A and B from the introduction. Some other properties of E -theory mentioned in the introduction are also discussed.

4.1 | The Hausdorffized homotopy limit functor

First we establish some notation. There are several categories appearing in this subsection. For convenience, abbreviated forms of the definitions are collected in Table 1.

Let C^* be the category of separable C^* -algebras and $*$ -homomorphisms. If A and B are C^* -algebras, we write $[A, B]$ for the set of homotopy equivalence classes of $*$ -homomorphisms $A \rightarrow B$. Let $\text{ho}(C^*)$ be the category whose objects are separable C^* -algebras and where the morphisms $A \rightarrow B$ are the elements of $[A, B]$.

For any category D , we let $\text{ind-}D$ denote the category of (sequential) inductive systems in D modulo passing to subsequences. More precisely, objects in $\text{ind-}D$ are given by inductive systems $(\underline{A}, \underline{\alpha})$ in D and a morphism $(f, \underline{\phi}) : (\underline{A}, \underline{\alpha}) \rightarrow (\underline{B}, \underline{\beta})$ is represented by a pair consisting of a strictly increasing function $f : \mathbb{N} \rightarrow \mathbb{N}$ and a sequence $\underline{\phi} = (\phi_n : A_n \rightarrow B_{f(n)})_{n=1}^{\infty}$ of morphisms such that for all $n \in \mathbb{N}$, $\beta_{f(n+1), f(n)} \circ \phi_n = \phi_{n+1} \circ \alpha_n$. Two morphisms

$$(f, \underline{\phi}), (g, \underline{\psi}) : (\underline{A}, \underline{\alpha}) \rightarrow (\underline{B}, \underline{\beta})$$

TABLE 1 Some of the categories considered in this section.

| Category | Objects | Morphisms |
|-----------------------------|----------------------------|--|
| C^* | Separable C^* -algebras | $\phi, *$ -homomorphisms |
| AM | Separable C^* -algebras | $[[\phi]] \in [[A, B]]$, asymptotic homotopy classes of $*$ -homomorphisms |
| AM_{Hd} | Separable C^* -algebras | $[[\phi]]_{\text{Hd}} \in [[A, B]]_{\text{Hd}}$, Hausdorffized asymptotic homotopy classes of homomorphisms |
| ind-D | Inductive systems in D | $(f, \underline{\phi})$, pairs of increasing functions on \mathbb{N} and sequences of compatible morphisms in D |
| $\text{ho}(C^*)$ | Separable C^* -algebras | $[\phi] \in [A, B]$, homotopy classes of $*$ -homomorphisms |
| $\text{ho}(\text{ind-}C^*)$ | Inductive systems in C^* | $[[f, \underline{\phi}, \underline{h}]]$, equivalence classes of strong homotopy morphisms |
| sh | Shape systems | Same as $\text{ind-ho}(C^*)$ |
| s-sh | Shape systems | Same as $\text{ho}(\text{ind-}C^*)$ |

are *equivalent*, written as $(f, \underline{\phi}) \cong (g, \underline{\beta})$, if for all $n \in \mathbb{N}$, there is $m \in \mathbb{N}$ such that $m > \max\{f(n), g(n)\}$ and $\beta_{m, f(n)} \circ \phi_n = \beta_{m, g(n)} \circ \psi_n$. Note that if D is closed under sequential inductive limits, then there is a canonical *inductive limit functor* $\varinjlim : \text{ind-D} \rightarrow D$. In particular, this is the case for $D = C^*$.

In the special case $D = \text{ho}(C^*)$, we will always view morphisms in $\text{ind-ho}(C^*)$ as being represented by a homotopy morphism $(f, \underline{\phi})$ of inductive systems (see Definition 1.3) and write the equivalence class of such a morphism as $[f, \underline{\phi}]$. Therefore, given homotopy morphisms

$$(f, \underline{\phi}), (g, \underline{\psi}) : (A, \alpha) \rightarrow (B, \beta),$$

we have $[f, \underline{\phi}] = [g, \underline{\beta}]$ if and only if for all $n \in \mathbb{N}$, there is $m \in \mathbb{N}$ such that $m > \max\{f(n), g(n)\}$ and the $*$ -homomorphisms $\beta_{\infty, f(n)} \circ \phi_n$ and $\beta_{\infty, g(n)} \circ \psi_n$ are homotopic.

As pointed out in [8, section 1.4], the inductive limit functor does not descend to a functor $\text{ind-ho}(C^*) \rightarrow \text{ho}(C^*)$. Dadarlat addressed this by using a variation of the category $\text{ind-ho}(C^*)$, called $\text{ho}(\text{ind-}C^*)$, which may be regarded as the homotopy category of $\text{ind-}C^*$. Briefly, objects are given by (sequential) inductive systems of separable C^* -algebras (as in $\text{ind-}C^*$), and morphisms are equivalence classes $[[f, \underline{\phi}, \underline{h}]]$ of strong homotopy morphisms of inductive systems. We refer the reader to [8, Definition 3.6] for the precise definition of the equivalence relation, which is a modified version of the equivalence in $\text{ind-ho}(C^*)$ that accounts for the extra data given by the sequence of homotopies \underline{h} . In particular, any morphism in $\text{ind-}C^*$ induces a morphism in $\text{ho}(\text{ind-}C^*)$ with constant homotopies, yielding a functor $\text{Ho} : \text{ind-}C^* \rightarrow \text{ho}(\text{ind-}C^*)$ (which is the identity on objects).

For our purposes, the most relevant property of $\text{ho}(\text{ind-}C^*)$ is that the homotopy limit construction $\text{h-}\varinjlim$ induces a functor $\text{ho}(\text{ind-}C^*) \rightarrow \text{AM}$, which we continue to write as $\text{h-}\varinjlim$. It is proved in [8, section 2] that

$$\begin{array}{ccc} \text{ind-}C^* & \xrightarrow{\text{Ho}} & \text{ho}(\text{ind-}C^*) \\ \varinjlim \downarrow & & \downarrow \text{h-}\varinjlim \\ C^* & \xrightarrow{\text{As}} & \text{AM} \end{array}$$

commutes, where As is the identity on objects and $\text{As}(\phi) = [[\phi]]$ for every $*$ -homomorphism ϕ .

The *shape category* sh and *strong shape category* s-sh are defined as the full subcategories $\text{sh} \subseteq \text{ind-ho}(\mathbf{C}^*)$ and $\text{s-sh} \subseteq \text{ho}(\text{ind-}\mathbf{C}^*)$ whose objects are given by shape systems. The following result is due to Dadarlat.

Theorem 4.1 [8, Theorem 3.7]. *The homotopy limit functor restricts to an equivalence of categories*

$$\text{h-}\lim_{\rightarrow} : \text{s-sh} \xrightarrow{\sim} \text{AM}.$$

Theorem 4.3 below is the analog of Theorem 4.1 for the categories sh and AM_{Hd} . We will need some more notation. Let $F : \text{ho}(\text{ind-}\mathbf{C}^*) \rightarrow \text{ind-ho}(\mathbf{C}^*)$ be the functor that is the identity on objects and is defined on morphisms by

$$F([\underline{f}, \underline{\phi}, \underline{h}]) = [f, \underline{\phi}]$$

and let $\text{Hd} : \text{AM} \rightarrow \text{AM}_{\text{Hd}}$ be the functor that is the identity on objects and is defined by morphisms by

$$\text{Hd}([\underline{\phi}]) = [\underline{\phi}]_{\text{Hd}}.$$

Theorem 4.2. *There is a unique functor $\text{h-}\lim_{\rightarrow \text{Hd}} : \text{ind-ho}(\mathbf{C}^*) \rightarrow \text{AM}_{\text{Hd}}$ such that*

$$\begin{array}{ccccc} \text{ind-}\mathbf{C}^* & \xrightarrow{\text{Ho}} & \text{ho}(\text{ind-}\mathbf{C}^*) & \xrightarrow{F} & \text{ind-ho}(\mathbf{C}^*) \\ \lim \downarrow & & \downarrow \text{h-lim} & & \downarrow \text{h-lim}_{\rightarrow \text{Hd}} \\ \mathbf{C}^* & \xrightarrow{\text{As}} & \text{AM} & \xrightarrow{\text{Hd}} & \text{AM}_{\text{Hd}} \end{array}$$

commutes. Explicitly, on objects, $\text{h-}\lim_{\rightarrow \text{Hd}}(\underline{A}, \underline{\alpha}) = \lim(\underline{A}, \underline{\alpha})$, and on morphisms, $\text{h-}\lim_{\rightarrow \text{Hd}}([\underline{f}, \underline{\phi}]) = [[\text{h-}\lim(f, \underline{\phi}, \underline{h})]]_{\text{Hd}}$, where $(f, \underline{\phi}, \underline{h})$ is a strong homotopy morphism.

Proof. It suffices to show that $\text{h-}\lim_{\rightarrow \text{Hd}}$ is well defined on morphisms as the rest follows easily. This is immediate from the well-definedness of $\text{h-}\lim$ and Proposition 3.5. □

The following is the precise version of Theorem C from the introduction.

Theorem 4.3. *The Hausdorffized homotopy limit functor induces an equivalence of categories*

$$\text{h-}\lim_{\rightarrow \text{Hd}} : \text{sh} \xrightarrow{\sim} \text{AM}_{\text{Hd}}.$$

Proof. We need to prove that $\text{h-}\lim_{\rightarrow \text{Hd}}$ is full, dense, and faithful.

To prove that $\text{h-}\lim_{\rightarrow \text{Hd}}$ is full means to prove that, given objects $(\underline{A}, \underline{\alpha})$ and $(\underline{B}, \underline{\beta})$ in sh , the map

$$\text{Hom}_{\text{sh}}\left((\underline{A}, \underline{\alpha}), (\underline{B}, \underline{\beta})\right) \rightarrow \text{Hom}_{\text{AM}_{\text{Hd}}}\left(\text{h-}\lim_{\rightarrow \text{Hd}}(\underline{A}, \underline{\alpha}), \text{h-}\lim_{\rightarrow \text{Hd}}(\underline{B}, \underline{\beta})\right) \tag{3}$$

induced by $\text{h-}\lim_{\rightarrow \text{Hd}}$ is surjective. This is straightforward: the functor Hd is full and the restriction of $\text{h-}\lim$ to s-sh is an equivalence of categories (Theorem 4.1), so the commutativity of the diagram in Theorem 4.2 implies that the map in (3) is surjective.

To prove that $\text{h-}\lim_{\rightarrow \text{Hd}}$ is dense means to prove that given an object A in AM_{Hd} , there is an object $(\underline{A}, \underline{\alpha})$ in sh such that A is isomorphic to $\text{h-}\lim_{\rightarrow \text{Hd}} (\underline{A}, \underline{\alpha})$. This is immediate from the statement that every separable C^* -algebras has a shape system (see [1, Corollary 4.3]).

The fact that $\text{h-}\lim_{\rightarrow \text{Hd}}$ is faithful is more involved. We need to prove that, given objects $(\underline{A}, \underline{\alpha})$ and $(\underline{B}, \underline{\beta})$ in sh , the map in (3) is injective. Write $A = \text{h-}\lim_{\rightarrow} (\underline{A}, \underline{\alpha})$ and $B = \text{h-}\lim_{\rightarrow} (\underline{B}, \underline{\beta})$. We may further assume the connecting maps in the shape system $(\underline{B}, \underline{\beta})$ are surjective. Indeed, B admits a shape system $(\underline{B}', \underline{\beta}')$ with each β'_n surjective, and then Theorem 4.1 implies $(\underline{B}, \underline{\beta})$ and $(\underline{B}', \underline{\beta}')$ are isomorphic in the (strong) shape category. So we may replace $(\underline{B}, \underline{\beta})$ with $(\underline{B}', \underline{\beta}')$.

Suppose $(f, \underline{\phi}), (g, \underline{\psi}) : (\underline{A}, \underline{\alpha}) \rightarrow (\underline{B}, \underline{\beta})$ are homotopy morphisms and that

$$\text{h-}\lim_{\rightarrow \text{Hd}} ([f, \underline{\phi}]) = \text{h-}\lim_{\rightarrow \text{Hd}} ([g, \underline{\psi}]).$$

To simplify the notation, and without loss of generality, we assume $f = g = \text{id}_{\mathbb{N}}$. Fix $n \in \mathbb{N}$. Our goal is to show that, for large enough $m \in \mathbb{N}$, $\beta_{m,n} \circ \phi_n$ is homotopic to $\beta_{m,n} \circ \psi_n$, since this implies $[\underline{\phi}] = [\underline{\psi}]$ in $[(\underline{A}, \underline{\alpha}), (\underline{B}, \underline{\beta})]$. By Corollary 1.8 and the semiprojectivity of α_n , there exist a finite set $\mathcal{G} \subset A_{n+1}$ and $\delta > 0$ such that if D is a C^* -algebra and $\theta, \rho : A \rightarrow D$ are $*$ -homomorphisms with $\|\theta(a) - \rho(a)\| < \delta$, it must be that $\theta \circ \alpha_n$ is homotopic to $\rho \circ \alpha_n$.

The hypothesis and Proposition 1.5 imply

$$[[\beta_{\infty, n+3} \circ \phi_{n+3}]]_{\text{Hd}} = [[\phi \circ \alpha_{\infty, n+3}]]_{\text{Hd}} = [[\psi \circ \alpha_{\infty, n+3}]]_{\text{Hd}} = [[\beta_{\infty, n+3} \circ \psi_{n+3}]]_{\text{Hd}}.$$

Because α_{n+2} is semiprojective, Lemma 3.4 implies $\beta_{\infty, n+3} \circ \phi_{n+3} \circ \alpha_{n+2}$ is homotopic to $\beta_{\infty, n+3} \circ \psi_{n+3} \circ \alpha_{n+2}$. Moreover, the fact that $\underline{\phi}$ and $\underline{\psi}$ are homotopy morphisms implies $\beta_{\infty, n+2} \circ \phi_{n+2}$ is homotopic to $\beta_{\infty, n+3} \circ \phi_{n+3} \circ \alpha_{n+2}$, and that $\beta_{\infty, n+2} \circ \psi_{n+2}$ is homotopic to $\beta_{\infty, n+3} \circ \psi_{n+3} \circ \alpha_{n+2}$.

We have that $\beta_{\infty, n+2} \circ \phi_{n+2}$ is homotopic to $\beta_{\infty, n+2} \circ \psi_{n+2}$. Hence, there is a $*$ -homomorphism $\theta : A_{n+2} \rightarrow C([0, 1], B)$ such that

$$\text{ev}_0 \circ \theta = \beta_{\infty, n+2} \circ \phi_{n+2} \quad \text{and} \quad \text{ev}_1 \circ \theta = \beta_{\infty, n+2} \circ \psi_{n+2}.$$

Let $\bar{\beta}_m = \text{id}_{C([0,1])} \otimes \beta_m$ and regard $C([0, 1], B)$ as the limit of the inductive system $(C([0, 1], B_m), \bar{\beta})$. If $m \in \mathbb{N}$ is large enough (and $m > n + 2$), the semiprojectivity of α_{n+1} provides a $\bar{*}$ -homomorphism $\bar{\theta} : A_{n+1} \rightarrow C([0, 1], B_m)$ with $\bar{\beta}_{\infty, m} \circ \bar{\theta} = \theta \circ \alpha_{n+1}$. Therefore,

$$\beta_{\infty, m} \circ \text{ev}_0 \circ \bar{\theta} = \text{ev}_0 \circ \bar{\beta}_{\infty, m} \circ \bar{\theta} = \text{ev}_0 \circ \theta \circ \alpha_{n+1} = \beta_{\infty, n+2} \circ \phi_{n+2} \circ \alpha_{n+1}.$$

Similarly, $\beta_{\infty, m} \circ \text{ev}_1 \circ \bar{\theta} = \beta_{\infty, n+2} \circ \psi_{n+2} \circ \alpha_{n+1}$. Therefore, if m is large enough, we have that $\|(\text{ev}_0 \circ \bar{\theta})(a) - (\beta_{m, n+2} \circ \phi_{n+2} \circ \alpha_{n+1})(a)\| < \delta$ for all $a \in \mathcal{G}$. Thus, $\text{ev}_0 \circ \bar{\theta} \circ \alpha_n$ and $\beta_{m, n+2} \circ \phi_{n+2} \circ \alpha_{n+1} \circ \alpha_n$ are homotopic for large enough m , as are $\text{ev}_1 \circ \bar{\theta} \circ \alpha_n$ and $\beta_{m, n+2} \circ \psi_{n+2} \circ \alpha_{n+1} \circ \alpha_n$, by the choice of \mathcal{G} and δ .

Now, $\beta_{m, n+2} \circ \phi_{n+2} \circ \alpha_{n+1} \circ \alpha_n$ and $\beta_{m, n} \circ \phi_n$ are homotopic, and hence so are $\beta_{m, n+2} \circ \psi_{n+2} \circ \alpha_{n+1} \circ \alpha_n$ and $\beta_{m, n} \circ \psi_n$ using that $\underline{\phi}$ and $\underline{\psi}$ are homotopy morphisms. Since $\text{ev}_0 \circ \bar{\theta} \circ \alpha_n$ and $\text{ev}_1 \circ \bar{\theta} \circ \alpha_n$ are homotopic, we (finally) conclude that $\beta_{m, n} \circ \phi_n$ and $\beta_{m, n} \circ \psi_n$ are homotopic, as desired. \square

Two separable C^* -algebras are isomorphic in the category sh if and only if they are isomorphic in the category s-sh by [8, Theorem 3.9]. In combination with Theorem 4.3, this gives the following.

Corollary 4.4. *Two separable C^* -algebras are isomorphic in the category AM if and only if they are isomorphic in the category AM_{Hd} . In fact, if A and B are separable C^* -algebras and $x \in [[A, B]]$ is such that $\text{Hd}(x) \in [[A, B]]_{\text{Hd}}$ is an isomorphism, then x is an isomorphism.*

4.2 | The topology on E -theory

In this final subsection, we apply the results of the previous sections to E -theory and prove Theorems A and B.

For a C^* -algebra A , define $SA = C_0(\mathbb{R}) \otimes A$, and write \mathcal{K} for the C^* -algebra of compact operators on a separable infinite-dimensional Hilbert space. Given separable C^* -algebras A and B , Connes and Higson defined

$$E(A, B) = [[SA \otimes \mathcal{K}, SB \otimes \mathcal{K}]];$$

see [7, section 4]. Then $E(A, B)$ is an abelian group with the sum of $[[\phi]]$ and $[[\psi]]$ given by the orthogonal sum: fix an isomorphism $\kappa : M_2(\mathcal{K}) \rightarrow \mathcal{K}$ (which is unique up to homotopy) and define

$$[[\phi]] + [[\psi]] = [[\text{id}_{SB} \otimes \kappa]] \circ \left[\left[\begin{pmatrix} \phi & 0 \\ 0 & \psi \end{pmatrix} \right] \right].$$

The existence of the topology on $E(A, B)$ promised in Theorem A now follows immediately from the existence of our topology on asymptotic morphisms.

Proof of Theorem A. This is a special case of Theorems 2.10 and 2.12. □

As expected, the algebraic operations on $E(A, B)$ are continuous.

Theorem 4.5. *If A, B , and D are separable C^* -algebras, then $E(A, B)$ is a topological group and the product $E(A, B) \times E(B, D) \rightarrow E(A, D)$ is jointly continuous*

Proof. The continuity of the product is immediate from Theorem 2.15. To show $E(A, B)$ is a topological group, it suffices to show the continuity of subtraction. Suppose $(x_n)_{n=1}^\infty$ and $(y_n)_{n=1}^\infty$ are sequences in $E(A, B)$ with $x_n \rightarrow x$ and $y_n \rightarrow y$ in $E(A, B)$. Let $\hat{x}, \hat{y} \in E(A, C(\mathbb{N}^\dagger, B))$ be such that $\hat{x}(m) = x_m, \hat{x}(\infty) = x, \hat{y}(m) = y_m$, and $\hat{y}(\infty) = y$ for all $m \in \mathbb{N}$. Then, $z = \hat{x} - \hat{y} \in E(A, C(\mathbb{N}^\dagger, B))$ satisfies $z(m) = x_m - y_m$ for $m \in \mathbb{N}$ and $z(\infty) = x - y$. So $x_m - y_m \rightarrow x - y$, as required. □

For separable C^* -algebras A and B , Dadarlat [9] defined a topology on $KK(A, B)$ that is second countable and satisfies *Pimsner's condition*: A sequence (x_n) in $KK(A, B)$ converges to x_∞ if and only if there exists $y \in KK(A, C(\mathbb{N}^\dagger, B))$ such that $y(n) = x_n$ for all $n \in \mathbb{N}$ and $y(\infty) = x_\infty$. Such a topology is obviously unique. Therefore, when A is nuclear (or just K -nuclear), so that $KK(A, B) \cong E(A, B)$ via an isomorphism that respects the product structure (see [7] or [2, Theorem 25.6.3]), Theorem A shows that the Dadarlat's topology and ours coincide.

Also in [9], Dadarlat defined $KL(A, B)$ to be the quotient of $KK(A, B)$ by the closure of $\{0\}$. (This followed an earlier definition of Rørdam [19] that required the UCT.) In a similar fashion, we define $EL(A, B)$ to be the quotient of $E(A, B)$ by the closure of $\{0\}$. This coincides with $[[SA \otimes \mathcal{K}, SB \otimes \mathcal{K}]]_{\text{Hd}}$ (see Definition 3.1) by the continuity of addition and inverses (Theorem 4.5). When A is nuclear (or just K -nuclear), $KL(A, B) \cong EL(A, B)$.

Theorem 4.6 (cf. [9, Proposition 2.8]). *If A , B , and D are separable C^* -algebras, then $EL(A, B)$ is a totally disconnected, separable, and completely metrizable topological group, and the composition product $EL(A, B) \times EL(B, D) \rightarrow EL(A, D)$ is jointly continuous.*

Proof. The group structure on $EL(A, B)$ follows from Theorem 4.5, and Theorem 3.7 implies $EL(A, B)$ is a Polish space. The continuity of composition is a special case of Proposition 3.2. \square

Now we turn to the proof of Theorem B, which states that—unlike E -theory and KK -theory the group $EL(\cdot, B)$ always preserves inductive limits.

Proof of Theorem B. This follows immediately from Theorem 3.10 and the remarks above to identify EL and KL in the presence of nuclearity. \square

Two (separable) C^* -algebras A and B are KK -equivalent if there is an invertible element in $KK(A, B)$. Similar terminology is used for KL , E , and EL . Dadarlat showed in [9, Corollary 5.2], using the Kirchberg–Phillips theorem, that two nuclear C^* -algebras are KK -equivalent if and only if they are KL -equivalent. The following strengthening of this statement eschews the nuclearity assumption. In the nuclear setting, it provides a proof of Dadarlat’s result that does not depend on classification theorems.

Proposition 4.7. *Two separable C^* -algebras are E -equivalent if and only if they are EL -equivalent.*

Proof. This is a special case of Corollary 4.4. \square

We end by pointing out that the usual tools used to compute KL -groups can also be used to compute EL -groups. If a separable C^* -algebra A is E -equivalent to a commutative C^* -algebra, then there is a natural short exact sequence

$$0 \rightarrow \text{Ext}_{\mathbb{Z}}^1(K_{*+1}(A), K_*(B)) \rightarrow E(A, B) \rightarrow \text{Hom}_{\mathbb{Z}}(K_*(A), K_*(B)) \rightarrow 0,$$

known as the *universal coefficient theorem (UCT)* in E -theory. This can be deduced from the UCT in KK -theory of [20] by identifying $E(A, B)$ with $E(D, B)$, and then with $KK(D, B)$, for some commutative C^* -algebra D (for which the UCT holds). In a similar way, one can borrow the version of the UCT from [10] to prove the following.

Theorem 4.8. *If A and B are separable C^* -algebras, and A is E -equivalent to a commutative C^* -algebra, then*

- (i) *the closure of $\{0\}$ in $E(A, B)$ coincides with the image of the subgroup of $\text{Ext}_{\mathbb{Z}}^1(K_{*+1}(A), K_*(B))$ consisting of pure extensions;*

(ii) *the natural map $EL(A, B) \rightarrow \text{Hom}_\Delta(\underline{K}(A), \underline{K}(B))$ is an isomorphism of topological groups, where the total K -theory groups $\underline{K}(A)$ and $\underline{K}(B)$ are endowed with the discrete topology and the space of homomorphisms is equipped with the topology of pointwise convergence.*

Proof. As noted above, we may identify $E(A, B)$ with $KK(D, B)$ for some commutative C^* -algebra D that is E -equivalent to A . So it suffices to prove the analogous results in KK -theory. These are known: For example, (i) follows from [24, Theorem 3.3] and (ii) follows from [9, Theorem 4.1]. \square

Remark 4.9. By the main result of [17], for separable C^* -algebras A and B , there is a natural isomorphism $E(A, B) \cong KK(SA, Q(B \otimes \mathcal{K}))$, where for a C^* -algebra D , $M(D)$ denotes the multiplier algebra of D , and $Q(D)$ denotes the corona $M(D)/D$. One might attempt to use this isomorphism and the topology on KK from [9] to obtain an alternate definition of the topology on $E(A, B)$. An immediate technical hurdle that one faces is that the topology on KK from [9] requires the second variable to be separable, whereas $Q(B \otimes \mathcal{K})$ is nonseparable whenever B is nonzero. Separability, as opposed to σ -unitality, is important to obtain the existence of absorbing representations using the main result of [26], which is a critical ingredient in the definition of the topology in [9].

Moreover, if this technical hurdle could be overcome (e.g., if the result from [26] could be extended to σ -unital codomains or if one could somehow pass to the limit over separable subalgebras of the codomain, as in [6, Appendix B]), then the topology on $E(A, B)$ obtained using the isomorphism above would be defined in terms of $*$ -homomorphisms

$$SA \rightarrow M(Q(B \otimes \mathcal{K}) \otimes \mathcal{K}).$$

This would be a rather difficult and impractical definition to work with. The topology introduced in this paper is defined directly in terms of asymptotic morphisms and, in our opinion, is more conceptual and easier to work with than this possible alternative. Moreover, it is not clear to the authors how to prove the E -theoretic version of Pimsner's condition of Theorem A (or the other properties established in this section) for this potential alternate definition from a topology on $KK(SA, Q(B \otimes \mathcal{K}))$. The main difficulty would be understanding the relationship between $C(\mathbb{N}^\dagger, Q(B \otimes \mathcal{K}))$ and $Q(C(\mathbb{N}^\dagger, B) \otimes \mathcal{K})$.

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